

1 KATHY BAZOIAN PHELPS (State Bar No. 155564)
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7 *Successor Receiver*

8
9 **UNITED STATES DISTRICT COURT**
10 **NORTHERN DISTRICT OF CALIFORNIA**
11 **SAN FRANCISCO DIVISION**

12 SECURITIES AND EXCHANGE
13 COMMISSION,

14 Plaintiff,

15 v.

16 JOHN V. BIVONA; SADDLE RIVER
17 ADVISORS, LLC; SRA
18 MANAGEMENT ASSOCIATES,
19 LLC; FRANK GREGORY
20 MAZZOLA,

21 Defendants, and

22 SRA I LLC; SRA II LLC; SRA III
23 LLC; FELIX INVESTMENTS, LLC;
24 MICHELE J. MAZZOLA; ANNE
25 BIVONA; CLEAR SAILING GROUP
26 IV LLC; CLEAR SAILING GROUP V
27 LLC,

28 Relief Defendants.

Case No. 3:16-cv-01386-EMC

**DECLARATION OF KATHY BAZOIAN
PHELPS IN SUPPORT OF SEVENTH
INTERIM ADMINISTRATIVE MOTION
FOR AN ORDER PURSUANT TO LOCAL
RULE 7-11 FOR THE APPROVAL OF FEES
AND EXPENSES FOR THE SUCCESOR
RECEIVER, DIAMOND McCARTHY LLP,
MILLER KAPLAN ARASE LLP AND
SCHINNER & SHAIN LLP FROM JULY 1,
2020 THROUGH SEPTEMBER 30, 2020**

Date: No Hearing Set
Time: No Hearing Set
Judge: Edward M. Chen

1 I, Kathy Bazoian Phelps, declare:

2 1. Pursuant to this Court's Revised Order Appointing Receiver, entered on February 28,
3 2019, I was appointed as the successor receiver ("Receiver") in this case. I am also an attorney
4 duly licensed to practice in the State of California and am senior counsel at the firm of Diamond
5 McCarthy LLP ("Diamond McCarthy"). I have personal knowledge of the matters set forth below
6 and if called as a witness, I would and could testify competently to the matters stated herein.

7 2. This declaration is made in support of the Seventh Interim Administrative Motion for
8 an Order Pursuant to Local Rule 7-11 for the Approval of Fees and Expenses for the Successor
9 Receiver, Diamond McCarthy LLP, Miller Kaplan Arase LLP, and Schinner & Shain LLP From
10 July 1, 2020 through September 30, 2020 ("Motion").

11 3. Attached hereto as Exhibit "1" is a financial summary of the receivership estate for the
12 third quarter 2020, as of September 30, 2020. The financial summary sets forth the cash on hand in
13 the estate. The summary also includes the known accrued but unpaid administration expenses
14 through September, 2020, and the net unencumbered cash of the estate after deducting the known
15 incurred expenses, including the fees and costs subject to this Motion and including holdbacks.

16 4. Attached hereto as Exhibit "2" is the Standardized Fund Accounting Report for the
17 Motion Period, prepared on the form requested by the SEC to reflect the cash activity in the case
18 during this period.

19 5. Pursuant to my proposal for my appointment, and in recognition of the efficiencies and
20 benefits to the estate in my role as Receiver that I can also address legal issues arising in the estate,
21 I have divided my time between the following billing categories:

22 2598-00011 – Case Administration

23 2598-00012 – Receiver Administrative Services

24 2598-00014 – Asset Analysis and Recovery

25 2598-00016 – Plan Implementation

26 6. The detailed time entries reflecting the time spent in each of these categories is
27 attached hereto as Exhibit "3."

28 7. While my standard hourly rate is \$675.00, I have discounted my hourly rate to \$425.00

1 for Matters 11, 14, and 16, thereby generating savings to the estate. For Matter 2598-00012, I have
2 discounted my hourly rate to \$130.00.

3 2598-00011 – Case Administration

4 8. With respect to Category 2598-00011, I performed 22.10 hours of services for total
5 fees of \$9,392.50 for the period of July 1, 2020 through September 30, 2020 (“Motion Period”).
6 Attached as Exhibit “3-1” hereto are true and correct copies of billing statements itemizing the
7 services provided for Category 2598-00011.

8 9. Prior to my involvement in this case, this case was pending for almost three years. I
9 have worked efficiently and economically to move this case forward. During the Motion Period, I
10 have taken actions to manage the administration of the case, including issues dealing with financial
11 reporting and banking. I prepared a status report through the second quarter of 2020 to apprise the
12 Court of developments in the receivership and my activities in the case. I managed the funds of the
13 receivership estate and handled banking and the accounts at Wells Fargo Bank.

14 10. During the Motion Period, I worked with my accountants to prepare the estate’s tax
15 returns. I gathered information needed by the accountants, reviewed and analyzed tax reporting
16 documents, reviewed and reconciled bank statements, accounting records and administrative costs,
17 and participated in discussions with my accountants.

18 2598-00012 – Administrative Services

19 11. I have billed the administrative services that I, as well as my paralegals, provided to the
20 estate to this category. I have discounted my hourly rate and the hourly rates of my paralegal
21 support to \$130.00. Attached as Exhibit “3-2” hereto are true and correct copies of billing
22 statements itemizing the services provided for Category 2598-00012. In this category, with the
23 assistance of my paralegals, we performed 58.90 hours of services for \$7,650.00.

24 12. Services performed in this category include but are not limited to updating the master
25 service list with new information, addressing service issues, maintaining detailed spreadsheets of
26 investor account information for publicly traded securities, and monitoring and updating the
27 Receivership website. I have also billed communications with investors on purely administrative
28 matters in this category.

1 13. Substantial services were provided by my assistants in managing the information and
2 preparing the banking forms required to make distributions of securities to investors. Services were
3 provided in communicating with investors, updating account information for investors, and
4 preparing individualized forms for each investor.

5 2598-00014 – Asset Analysis and Recovery

6 14. With respect to Category 2598-00014, I performed 22.70 hours of services for total
7 fees of \$9,647.50. Attached as Exhibit “3-3” hereto are true and correct copies of billing statements
8 itemizing the services provided for Category 2598-00014.

9 15. Prior to the Motion Period, I commenced litigation against Ben Sabrin for the return of
10 6,250 shares of MongoDB, or the value thereof, that he owes to the estate. During the Motion
11 Period, I communicated and worked with my counsel on various matters pertaining to the lawsuit
12 against Mr. Sabrin, including but not limited to discovery matters, initial disclosures, the review
13 and analysis of documents, and the evaluation of a settlement offer.

14 16. Following Court approval of a settlement between the receivership estate and Equity
15 Acquisition Corporation (“EAC”), I worked on preparing, delivering, and completing the
16 documentation arranging for the transfer of securities per the settlement. I reviewed and
17 commented on stock transfer documents, communicated with my securities counsel and counsel for
18 Palantir, and evaluated and executed tax documents pertaining to the transfer of Palantir shares.

19 2598-00016 – Plan Implementation

20 17. With respect to Category 2598-00016, I performed 134.20 hours of services for total
21 fees of \$57,035.00. Attached as Exhibit “3-4” hereto are true and correct copies of billing
22 statements itemizing the services provided for Category 2598-00016.

23 18. This category includes services provided to the estate in connection with the Plan of
24 Distribution (“Plan”) approved by the Court on May 25, 2020 (Dkt. No. 613).

25 19. Following approval of the Plan, I reviewed, analyzed, and worked with my accountants
26 in finalizing distribution schedules. I evaluated the tax implications of the Plan and tax liabilities
27 from the distributions and communicated with my accountants on these matters.

28 20. With the assistance of my counsel, on July 13, 2020, I filed a Motion for Order

1 Approving Sale and Distribution of Publicly Traded Securities Pursuant to the Distribution Plan
2 (Dkt. No. 617). Pursuant to the Plan, I am to liquidate a portion of the publicly traded securities to
3 fund the Plan Fund and the Tax Holding Account and to sell surplus shares. The Plan also allows
4 me to make interim distributions, in in my discretion, of a portion of the Plan Fund and the
5 remaining securities to the creditors and investors with allowed claims. The motion set forth
6 detailed distribution schedules for each of the groups of investors holding securities in each of the
7 following publicly traded securities: Bloom Energy, Inc.; Cloudera, Inc.; DropBox, Inc.; Lyft, Inc.,
8 Inc.; MongoDB, Inc.; Pinterest, Inc.; Snap, Inc., Inc., and Uber, Inc. Through the motion, I sought
9 Court approval of: the distribution schedules; the sale of securities in the amounts and for the prices
10 set forth in the schedules; interim distributions to investors holding allowed claims; and
11 commissions generated from the sale of securities. The Court entered its order approving the
12 motion on July 20, 2020 (Dkt. No. 619). After approval of the motion, I worked towards
13 implementing the sale of securities and making an interim distribution.

14 21. I communicated with financial institutions on the sale and distribution of securities. I
15 received a considerable number of interested party and investor inquiries, which I responded to. I
16 also apprised the SEC and the Investment Advisory Committee of matters pertaining to
17 implementation of the Plan, tax consequences of the Plan, distributions under the Plan, and
18 transfers of publicly traded securities

19 22. With the assistance of my counsel, on September 4, 2020, I filed the Motion for Order
20 Authorizing Lockup Agreement and Approving Sale of Securities Pursuant to Distribution Plan
21 (Dkt. No. 622). Under the Plan, once pre-IPO shares held by the receivership estate became
22 publicly traded, they are to be liquidated to fund the Plan Fund and the Tax Holding Account and
23 distributions are to be made to investors. As Palantir was preparing to go public, I received a
24 request from Palantir's counsel to sign a lockup agreement under which Palantir would guarantee
25 that 20% of shares are freely tradeable once the direct listing is finalized and the remaining 80%
26 would not be transferred until early 2021. On September 9, 2020 the Court approved the motion,
27 authorized me to execute the lockup agreement on behalf of the receivership estate, and authorized
28 me to sell no more than \$1 million Palantir shares at a price of at least \$10 per share to use the

1 proceeds to fund the Plan Fund and Tax Holding Account and to pay reasonable commissions (Dkt.
2 626).

3 23. During the Motion Period, I received a request from The Eliv Group, LLC (“Eliv”) to
4 receive its distribution in cash and not in securities. The SEC had brought an action against ELIV
5 alleging fraud and the principal was charged and convicted in a criminal proceeding in the United
6 States District Court for the Northern District of New York. An order of restitution was entered in
7 that case, and I was informed by the United States Attorney’s Office for the Northern District of
8 New York that submitted a proof of claim in this case that it was unable to receive securities into
9 the restitution fund. Therefore, on September 9, 2020, with the assistance of my counsel, I filed a
10 Motion for Order Modifying the Distribution Plan With Respect to Eliv (Dkt. 624). The motion
11 was approved by the Court on September 11, 2020 (Dkt. No. 627). I liquidated the Eliv Group
12 shares and have distributed the proceeds to the Department of Justice on the allowed claim.

13 Expenses

14 24. In connection with services performed, I have incurred reasonable and necessary cost
15 in the amount of \$49.70, which consists of costs relating to research. The detail of the costs
16 incurred is attached hereto as Exhibit “3-5.”

17 25. I have read the Motion and the billing statements attached to my declaration. To the
18 best of my knowledge, information and belief formed after reasonable inquiry, all the fees and
19 expenses requested in the attached billing statements are true and correct and the Motion complies
20 with the Billing Instructions for Receivers in Civil Actions Commenced by the U.S. Securities and
21 Exchange Commission.

22 26. The fees that I and my staff have charged are reasonable, necessary, and commensurate
23 with the skill and experience required for the activity performed. I respectfully submit that neither
24 I nor my staff has expended time unnecessarily and that I have rendered efficient and effective
25 services.

26 27. In seeking reimbursement of services for which I purchased or contracted for from a
27 third party, I have only requested reimbursement for the amount billed by the third-party vendor
28 and paid to the vendor. I have not made a profit on such reimbursable services. I have not

1 included in the amount for which reimbursement is sought the amortization of the cost of any
2 investment, equipment, or capital outlay.

3 28. The detail relating to the fees of Miller Kaplan Arase LLP and Schinner and Shain LLP
4 are set forth in the Declarations of Julia Damasco and Fred Koenen, respectively, filed concurrently
5 herewith. I have reviewed their billing statements and believe that the fees and expenses charged
6 are reasonable and were necessary in this case. To the best of my knowledge, information and
7 belief formed after reasonable inquiry, all the fees and expenses requested in their billing
8 statements are true and correct and the Motion complies with the Billing Instructions for Receivers
9 in Civil Actions Commenced by the U.S. Securities and Exchange Commission.

10 29. I have agreed to a 20% holdback of my fees requested in this Motion. The SEC has
11 agreed not to require a holdback for my professionals in this Motion so I will pay 80% of my
12 allowed fees and 100% of the allowed fees of my professionals in connection with this Motion.

13 30. I have met and conferred with counsel for the SEC regarding the prior holdback
14 amounts from earlier fee motions in the case. Given the strong cash position of the case as of this
15 time, the SEC does not object to me paying the holdback amounts of my professionals but has
16 requested that the prior hold back amounts for me as Receiver and the former receiver, Sherwood
17 Partners, remain in place as of this time. I believe it is appropriate to pay the following holdback
18 amounts of my professionals:

Diamond McCarthy	\$26,511.32
Miller Kaplan	\$11,772.96
Schinner & Shain	\$3,761.24

21 31. I have conferred with counsel for the Securities and Exchange Commission and
22 counsel for Progresso Ventures, and I am advised that they do not oppose the Motion. A stipulation
23 with all parties was deemed impractical given, among other things, the entry of judgment against
24 defendants and pending bankruptcy of defendant John Bivona.

25 I declare under penalty of perjury that the foregoing is true and correct. Executed on this
26 4th day of November 2020 at Los Angeles, California.

27 /s/ Kathy Bazoian Phelps
28 Kathy Bazoian Phelps

EXHIBIT 1

Receivership Estate of SRA Management Associates, LLC et al
3rd Quarter 2020 - Cash Receipts and Disbursements

Checking #0063

Date	Notes	Deposits	Withdrawals	Balance
7/1/2020	Opening Balance			\$203,828.40
7/28/2020	transfer fee for wire of funds to EWB		\$30	\$203,798.40
9/1/2020	Receiver's fees 2nd Qtr		\$35,123.20	
9/1/2020	Receiver's costs 2nd Qtr		\$388.39	
9/1/2020	Diamond McCarthy fees 2nd Qtr		\$23,519.12	
9/1/2020	Diamond McCarthy costs 2ndQtr		\$919.78	
9/1/2020	Miller Kaplan Arase fees 2nd Qtr		\$13,119.36	
9/1/2020	Schinner & Shain costs 2nd Qtr		\$900.00	\$129,828.55
9/16/2020	transfer from 2849	\$54,871.51		\$184,700.06
9/16/2020	Payment to Eliv Group - Dpt of Justice		\$54,844.76	\$129,855.30
	Ending Balance			\$129,855.30

Brokerage #2849 (Brokerage with Stocks and Mutual Fund)

Date	Notes	Deposits	Withdrawals	Balance
7/1/2020	Opening Balance			\$94,389.37
7/1/2020	dividend reinvested	\$8.85		\$94,398.22
7/24/2020	stock sales	\$3,858,338.95		\$3,952,737.17
7/28/2020	transfer from checking for wire fee	\$30.00		\$3,952,767.17
7/28/2020	wire fee		\$30.00	\$3,952,737.17
7/28/2020	transfer to East West Bank		\$3,858,338.95	\$94,398.22
8/3/2020	dividend reinvested	\$7.66		\$94,405.88
9/1/2020	dividend reinvested	\$4.89		\$94,410.77
9/14/2020	Sale of Bloom Energy for Eliv	\$36,885.82		\$131,296.59
9/14/2020	Sale of DropBox for Eliv	\$15,918.74		\$147,215.33
9/14/2020	Sale of MongoDB for Eliv	\$2,066.95		\$149,282.28
9/16/2020	Transfer to Checking for Eliv Group		\$54,871.51	\$94,410.77
	Ending Balance			\$94,410.77

Brokerage #7306 (Anna Bivona funds)

Date	Notes	Deposits	Withdrawals	Balance
7/1/2020	Opening Balance			\$502,408.06
7/31/2020	dividend reinvested	\$4.26		\$502,412.32
8/31/2020	dividend reinvested	\$4.26		\$502,416.58
9/30/2020	dividend reinvested	\$4.12		\$502,420.70
	Ending Balance			\$502,420.70

East West #0704 (Tax Holding Account)

7/28/2020	Opening Balance	\$1,306,549.35		\$1,306,549.35
8/5/2020	transfer to Tax Holding ICS		1,056,549.35	\$250,000.00
9/1/2020	transfer in from ICS	\$25.00		\$249,975.00
9/1/2020	maintenance fee		25.00	\$250,000.00

East West #0697 (Plan Fund)

7/28/2020 Opening Balance	\$2,551,789.60		\$2,551,789.60
8/5/2020 transfer to Plan Fund ICS		2,301,789.60	\$250,000.00
8/20/2020 payment for Palantir transfer fee		3,500.00	\$246,500.00
8/20/2020 transfer from Plan Fund ICS	\$3,500.00		\$250,000.00
8/25/2020 reimbursement from EAC for 50% fee	\$1,750.00		\$248,250.00
8/25/2020 transfer to Plan Fund ICS		1,750.00	\$250,000.00
9/1/2020 transfer in from ICS	\$25.00		\$249,975.00
9/1/2020 maintenance fee		25.00	\$250,000.00

East West #1070 (ICS Cash Sweep for Tax Holding Account)

8/5/2020 transfer from Tax Holding Account	1,056,549.35		\$1,056,549.35
8/31/2020 interest	112.80		\$1,056,662.15
9/2/2020 transfer to Tax Holding checking for fee		25	\$1,056,637.15
9/30/2020 interest	130.23		\$1,056,767.38
Ending balance			\$1,056,767.38

East West #1062 (ICS Cash Sweep for Plan Fund)

8/5/2020 transfer from Plan Fund	2,301,789.60		\$2,301,789.60
8/20/2020 transfer to Plan Fund		3,500.00	\$2,298,289.60
8/25/2020 transfer from Plan Fund	1,750.00		\$2,300,039.60
8/31/2020 interest	245.67		\$2,300,285.27
9/2/2020 transfer to Plan Fund checking for fee		25.00	\$2,300,260.27
9/30/2020 interest	283.50		\$2,300,543.77
Ending Balance			\$2,300,543.77

**Cash Position of Receivership Estate of SRA Management Associates, LLC et al
As of September 30, 2020****Cash**

Checking		\$129,855.30
Money Market		\$94,410.77
Anna Bivona Funds		\$502,420.70
Plan Fund checking		\$250,000.00
Tax Holding checking		\$250,000.00
Plan Fund ICS		\$2,300,543.77
Tax Holding ICS		\$1,056,767.38
	Subtotal	\$4,583,997.92

Holdbacks (incl thru 2Q 2020)

Sherwood Partners, Former Receiver		\$144,627.50
Kathy Bazoian Phelps, Receiver		\$73,322.58
Diamond McCarthy		\$26,511.32
Miller Kaplan		\$11,772.96
Schinner & Shain		\$3,761.24
	Subtotal	\$259,995.60

Accrued Fees for 3rd Qr 2020

Kathy Bazoian Phelps, Receiver	\$83,732.00
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Costs	\$49.70
Diamond McCarthy	\$82,500.50
Costs	\$508.60
Miller Kaplan	\$25,996.40
Costs	\$99.03
Schinner & Shain	\$11,731.50
	\$204,617.73

EXHIBIT 2

Receivership; Civil Court Docket No. 3:16-cv-01386-EMC

Reporting Period 7/01/2020 to 9/30/2020

FUND ACCOUNTING (See Instructions):				
		Detail	Subtotal	Grand Total
Line 1	Beginning Balance (As of 1701/2020):			\$ 800,625.83
	Wells Fargo Bank Checking		203,828.40	
	Wells Fargo Mutual Fund		94,389.37	
	Wells Fargo Bank - Anna Bivona funds		502,408.06	
	Increases In Fund Balance:			
Line 2	Business Income			
Line 3	Cash and Securities			
Line 4	Interest/Dividend Income			
	Checking			
	Mutual Fund	21.40		21.40
	Anna Bivona funds	12.64		12.64
	Plan Fund ICS Interest	529.17		529.17
	Tax Holding Account ICS Interest	243.03		243.03
Line 5	Business Asset Liquidation			
	Publicly Traded Stock sales proceeds	3,858,338.95		3,858,338.95
	Reimbursement from EAC for 50% Palantir transfer fee	1,750.00		1,750.00
	Proceeds from sale of Eliv Group shares	54,871.51		54,871.51
Line 6	Personal Asset Liquidation			
Line 7	Third-Party Litigation Income			
Line 8	Miscellaneous - Other (transfer from Mutual Fund)			
	Total Funds Available (Lines 1-8):			\$ 4,716,392.53
	Decreases In Fund Balance:			132,394.61
Line 9	Disbursements to Investors			\$ -
	Payment to Eliv Group		54,844.76	
	Payment of Palantir transfer fee		3,500.00	
	Bank fees		80.00	
Line 10	Disbursements for Receivership Operations			
Line 10a	Disbursements to Receiver or Other Professionals		73,969.85	
Line 10b	Business Asset Expenses			
Line 10c	Personal Asset Expenses			
Line 10d	Investment Expenses			
Line 10e	Third-Party Litigation Expenses			
	1. Attorney Fees			
	2. Litigation Expenses			
	Total Third-Party Litigation Expenses			
Line 10f	Tax Administrator Fees and Bonds			
Line 10g	Federal and State Tax Payments			
	Other (Transfer from Mutual Fund to Checking)			
	Total Disbursements for Receivership Operations			132,394.61
Line 11	Disbursements for Distribution Expenses Paid by the Fund:			N/A
Line 11a	Distribution Plan Development Expenses:			
	1. Fees:			
	Fund Administration.....			
	Independent Distribution Consultation (IDC).....			
	Distribution Agent.....			
	Consultants.....			
	Legal Advisors.....			
	Tax Advisors.....			
	2. Administrative Expenses			
	3. Miscellaneous			
	Total Plan Development Expenses			
Line 11b	Distribution Plan Implementation Expenses:			
	1. Fees			
	Fund Administrator.....			
	IDC.....			
	Distribution Agent.....			
	Consultants.....			
	Legal Advisors.....			

Receivership; Civil Court Docket No. 3:16-cv-01386-EMC

Reporting Period 7/01/2020 to 9/30/2020

		Tax Advisors.....			
		2. Administrative Expenses			
		3. Investor Identification:			
		Notice/Publishing Approved Plan			
		Claimant Identification			
		Claims Processing			
		Web Site Maintenance/Call Center			
		4. Fund Administrator Bond			
		5. Miscellaneous			
		6. Federal Account for Investor Restitution (FAIR) Reporting Expenses			
		<i>Total Plan Implementation Expenses</i>			
Line 12		Total Disbursements for Distribution Expenses Paid by the Fund			
		Disbursements to Court/Other:			
	<i>Line 12a</i>	<i>Investment Expenses/Court Registry Investment</i>			
		<i>System (CRIS) Fees</i>			
	<i>Line 12b</i>	<i>Federal Tax Payments</i>			
		Total Disbursements to Court/Other			
		Total Funds Disbursed (Lines 9-11)			132,394.61
Line 13		Ending Balance (As of 12/31/2019)			
Line 14		Ending Balance of Fund - Not Assets:			
	<i>Line 14a</i>	<i>Cash & Cash Equivalents</i>			
		Checking	129,855.30		129,855.30
		Mutual Fund	94,410.77		94,410.77
		Anna Bivona funds	502,420.70		502,420.70
		Plan Fund	250,000.00		250,000.00
		Plan Fund ICS account	2,300,543.77		2,300,543.77
		Tax Holding Account	250,000.00		250,000.00
		Tax Holding Account ICS account	1,056,767.38		1,056,767.38
	<i>Line 14b</i>	<i>Investments</i>			
	<i>Line 14c</i>	<i>Other Assets or Uncleared Funds</i>			
		Total Ending Balance of Fund - Not Assets			\$ 4,583,997.92

OTHER SUPPLEMENTAL INFORMATION:

			Detail	Subtotal	Grand Total
Line 15		Report of Items NOT To Be Paid by the Fund:			
		Disbursements for Plan Administration Expenses Not Paid by the Fund:			N/A
	<i>Line 15a</i>	<i>Plan Development Expenses Not Paid by the Fund:</i>			
		1. Fees			
		Fund Administrator.....			
		IDC.....			
		Distribution Agent.....			
		Consultants.....			
		Legal Advisors.....			
		Tax Advisors.....			
		2. Administrative Expenses			
		3. Miscellaneous			
		<i>Total Plan Development Expenses Not Paid by the Fund</i>			
	<i>Line 15b</i>	<i>Plan Implementation Expenses Not Paid by the Fund:</i>			
		1. Fees:			
		Fund Administrator.....			
		IDC.....			
		Distribution Agent.....			
		Consultants.....			
		Legal Advisors.....			
		Tax Advisors.....			
		2. Administrative Expenses			
		3. Investor Identification:			
		Notice/Publishing Approved Plan.....			
		Claimant Identification.....			

Receivership; Civil Court Docket No. 3:16-cv-01386-EMC

Reporting Period 7/01/2020 to 9/30/2020

	Claims Processing.....			
	Web Site Maintenance/Call Center.....			
	4. Fund Administrator Bond			
	5. Miscellaneous			
	6. FAIR Reporting Expenses			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>			
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>			
	Total Disbursements for Plan Administration Expenses Not Paid by the Fund			
Line 16	Disbursements to Court/Other Not Paid by the Fund:			N/A
Line 16a	<i>Investment Expenses/CRIS Fees</i>			
Line 16b	<i>Federal Tax Payments</i>			
	Total Disbursements to Court/Other Not Paid by the Fund:			
Line 17	DC & State Tax Payments			
Line 18	No. of Claims:			
Line 18a	<i># of Claims Received This Reporting Period.....</i>			
Line 18b	<i># of Claimants Since Inception of Fund.....</i>			
Line 19	No. of Claimants/Investors:			
Line 19a	<i># of Claimants/Investors Paid This Reporting Period.....</i>			
Line 19b	<i># of Claimants/Investors Paid Since Inception of Fund.....</i>			
<div style="text-align: right;"> Receiver: By: /s/ Kathy Bazoian Phelps (signature) _____ Kathy Bazoian Phelps _____ Receiver Date: 10.22.20 </div>				

EXHIBIT 3-1

10/14/2020 3:25:38 PM

Diamond McCarthy LLP

Page 1

Draft for Work-In-Process Through 9/30/2020

Matter ID: 2598-00011

Draft Seq # 1

Billing Attorney: 48 - Phelps, Kathy B.

Bill Format: 9010

Kathy Bazoian Phelps, Receiver

Billing Cycle: M

Diamond McCarthy LLP

1999 Avenue of the Stars, 11th Floor

Los Angeles, CA 90067

Re: SEC v. Saddle River Advisors, LLC**Alternate Billing Rules**

Imaging: Print images based on rules

Billing & Payment Recap

Total Billed Fees:	85,857.80	Combined Adv. Deposit Bal.:	0.00
Total Billed Costs:	3,930.99	Fee Adv. Deposit Bal.:	0.00
Total Billed Interest:	0.00	Cost Adv. Deposit Bal.:	0.00
Total Billed Retainer:	0.00	Trust Funds 1:	0.00
Total Collected:	72,012.95	Trust Funds 2:	0.00
Last Bill:	08/05/20 4,845.00	Trust Funds 3:	0.00
Last Payment:	09/02/20 3,876.00	Trust Funds 4:	0.00
Last Write-Off:			

WIP & A/R Aging

As of 9/30/2020	Total	Fees	Cost	Interest	Retainer	Aging			
						0-30	31-60	61-90	91+
WIP	9,392.50	9,392.50	0.00	0.00	0.00	4,462.50	2,337.50	2,592.50	0.00
A/R	17,775.84	17,775.84	0.00	0.00	0.00	0.00	0.00	969.00	16,806.84
Totals	27,168.34	27,168.34	0.00	0.00	0.00	4,462.50	2,337.50	3,561.50	16,806.84

Fee Recap - Actual Hourly Rate

Timekeeper	Hours	Rate	Amount	On Hold			To Bill		
				Hours	Rate	Amount	Hours	Rate	Amount
KBP	22.10	425.00	9,392.50				22.10	425.00	9,392.50
Total WIP Fees	22.10		9,392.50				22.10		9,392.50
Total WIP			9,392.50			0.00			9,392.50

Billing Instructions

Bill Fees: _____ Write off Fees: _____ Bill Costs: _____ Write off Costs: _____
 Do Not Bill: _____ Apply Advance Deposit: All _____ or Other Amount _____ Send Statement Only: _____

Fee Detail

Fee ID	Date	Atty	Description	Task:Act	Hold	Hours	Rate	Amount
732734	07/05/20	KBP	Prepare cash disbursement schedule and SFAR 2nd Qtr 2020.			0.70	425.00	297.50
732783	07/09/20	KBP	Prepare Status report through second quarter			1.20	425.00	510.00
732794	07/10/20	KBP	Emails to Wells Fargo brokerage and banking representatives regarding protection of funds, transfer of securities			0.20	425.00	85.00

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Fee ID	Date	Atty	Description	Task:Act	Hold	Hours	Rate	Amount
732796	07/10/20	KBP	Revise status report, cash disbursement schedules			0.40	425.00	170.00
732799	07/12/20	KBP	Finalize Status Report, email to SEC regarding report			0.40	425.00	170.00
732803	07/12/20	KBP	complete paperwork for transfer of Bloom Solis shares into receiver account at AST Financial			0.50	425.00	212.50
732844	07/16/20	KBP	Communications with AST and WFB regarding transfer and sale of securities			0.20	425.00	85.00
732845	07/16/20	KBP	in person trip to Wells Fargo for medallion signature regarding Bloom shares			0.80	425.00	340.00
732850	07/16/20	KBP	Communications with WFB regarding adequate insurance on funds			0.20	425.00	85.00
732857	07/17/20	KBP	Communications with J. Huang regarding FDIC insurance for funds			0.20	425.00	85.00
732937	07/27/20	KBP	Review and execute forms for wire transfer and for transfer of Bloom shares			0.50	425.00	212.50
732940	07/27/20	KBP	Tel conf with Y. Li regarding distribution of securities, wire transfer, communications with counsel regarding forms for Bloom transfers			0.50	425.00	212.50
732955	07/29/20	KBP	Review and respond to inquiry from Sherwood Partners regarding payment of holdbacks			0.10	425.00	42.50
732956	07/29/20	KBP	Review and respond to emails from B. Allard regarding inquiries regarding Palantir, taxes and valuation			0.20	425.00	85.00
738181	08/04/20	KBP	Communication with East West Bank re new accounts, online access and insurance			0.30	425.00	127.50
738210	08/06/20	KBP	Review email re Sabrin litigation			0.10	425.00	42.50
738245	08/11/20	KBP	tel conf with M. Bennett re pursuit of claims to recover from Bivona			0.10	425.00	42.50
738265	08/13/20	KBP	Draft email to J. Damasco re status of tax returns			0.10	425.00	42.50
738281	08/14/20	KBP	Reconcile cash spreadsheets for new bank accounts			0.80	425.00	340.00
738287	08/17/20	KBP	set up ICS access, communications with East West			0.60	425.00	255.00
738331	08/21/20	KBP	Tel conf with accountants re preparation of tax returns, information needed			0.80	425.00	340.00
738332	08/21/20	KBP	Draft email to Sherwood Partners re tax information needed			0.30	425.00	127.50
738333	08/21/20	KBP	Research documents from Sherwood re Square transactions, tax reporting information			0.50	425.00	212.50
738344	08/23/20	KBP	Gather information re Failed Investments for accountants, draft email to SEC re information needed			0.60	425.00	255.00

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Fee ID	Date	Atty	Description	Task:Act Hold Hours	Rate	Amount
738351	08/24/20	KBP	Review and respond to email from E. Chen re failed investments, numbers of shares	0.20	425.00	85.00
738354	08/24/20	KBP	Review and respond to email from P. Hartheimer re documents needed for tax returns	0.40	425.00	170.00
738356	08/24/20	KBP	Draft email to accountants with additional information re tax returns	0.30	425.00	127.50
738368	08/25/20	KBP	Review email from J. Yun re question about taxes	0.10	425.00	42.50
738370	08/25/20	KBP	Draft email to J. Damasco re tax issue	0.10	425.00	42.50
738422	08/31/20	KBP	Review email from G. Nertea re bank records, sale of Square shares	0.10	425.00	42.50
738423	08/31/20	KBP	Draft email to accountants re tax questions, confirmation re Square sale	0.10	425.00	42.50
741964	09/01/20	KBP	Communications with accountants re tax question re undelivered shares	0.20	425.00	85.00
741968	09/01/20	KBP	handle banking for payment of fees, review fee order	0.90	425.00	382.50
741978	09/02/20	KBP	Review August bank statements, reconcile records, prepare third quarter cash flow for August	1.00	425.00	425.00
742051	09/08/20	KBP	Review and respond to email from M. Katz re investor inquiry re claim, other entities	0.10	425.00	42.50
742086	09/12/20	KBP	Review tax reporting documents, communicate with accountants	0.40	425.00	170.00
742104	09/14/20	KBP	Download and review bank statements from former receiver	0.70	425.00	297.50
742107	09/14/20	KBP	attempt to reconstruct prior Receiver's accounting, email to P. Hartheimer re accounting of administrative claims paid	0.50	425.00	212.50
742112	09/14/20	KBP	Reconcile bank records, administrative costs, gather data for tax returns and send to accountants	1.50	425.00	637.50
742126	09/15/20	KBP	Review and respond to G. Nertae re financial information still needed for tax returns	0.20	425.00	85.00
742161	09/16/20	KBP	Review and reconcile bank statements from Sherwood	0.70	425.00	297.50
742164	09/17/20	KBP	Communications with accountant and staff re information needed for tax returns	0.20	425.00	85.00
742167	09/17/20	KBP	Review and respond to email from accountants re tax returns	0.10	425.00	42.50
742184	09/17/20	KBP	Review additional records and accounting from Sherwood Partners re administrative costs, tax deductions	0.40	425.00	170.00
742205	09/21/20	KBP	Review of information from Sherwood, draft memos re administrative costs from prior receiver	0.40	425.00	170.00

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Fee ID	Date	Atty	Description	Task:Act Hold Hours	Rate	Amount
742219	09/23/20	KBP	Review information re new claimant, draft email to claimant re no claim, review information from SEC	0.40	425.00	170.00
742236	09/24/20	KBP	Review information from Sherwood to try to reconcile accounting for tax returns	0.50	425.00	212.50
742237	09/24/20	KBP	Communications with accountants re tax returns	0.20	425.00	85.00
742259	09/26/20	KBP	Review draft tax return and schedule of administrative claims paid	1.00	425.00	425.00
742308	09/29/20	KBP	Communication with accountants re tax returns	0.10	425.00	42.50
742315	09/29/20	KBP	Review administrative cost spreadsheet, email to accountants	0.30	425.00	127.50
742316	09/29/20	KBP	Draft motion to allow late-filed claim	0.70	425.00	297.50
Total Fees				22.10		9,392.50

EXHIBIT 3-2

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Matter ID: 2598-00012

Draft Seq # 1

Billing Attorney: 48 - Phelps, Kathy B.

Bill Format: 9010

Kathy Bazoian Phelps, Receiver

Billing Cycle: M

Diamond McCarthy LLP

1999 Avenue of the Stars, 11th Floor

Los Angeles, CA 90067

Re: Receiver Administrative Services**Billing Comments****Internal Comments**

All timekeepers at \$130 for Mtr 12 only

Alternate Billing Rules

Imaging:

Print images based on rules

Billing & Payment Recap

Total Billed Fees:	20,200.50	Combined Adv. Deposit Bal.:	0.00
Total Billed Costs:	0.00	Fee Adv. Deposit Bal.:	0.00
Total Billed Interest:	0.00	Cost Adv. Deposit Bal.:	0.00
Total Billed Retainer:	0.00	Trust Funds 1:	0.00
Total Collected:	16,051.20	Trust Funds 2:	0.00
Last Bill:	08/05/20 2,509.00	Trust Funds 3:	0.00
Last Payment:	09/02/20 2,007.20	Trust Funds 4:	0.00
Last Write-Off:			

WIP & A/R Aging

						Aging			
As of 9/30/2020	Total	Fees	Cost	Interest	Retainer	0-30	31-60	61-90	91+
WIP	7,657.00	7,657.00	0.00	0.00	0.00	2,938.00	286.00	4,186.00	247.00
A/R	4,149.30	4,149.30	0.00	0.00	0.00	0.00	0.00	501.80	3,647.50
Totals	11,806.30	11,806.30	0.00	0.00	0.00	2,938.00	286.00	4,687.80	3,894.50

Fee Recap - Actual Hourly Rate

				On Hold			To Bill		
Timekeeper	Hours	Rate	Amount	Hours	Rate	Amount	Hours	Rate	Amount
KBP	8.00	130.00	1,040.00				8.00	130.00	1,040.00
HDN	5.90	130.00	767.00				5.90	130.00	767.00
HMC	45.00	130.00	5,850.00				45.00	130.00	5,850.00
Total WIP Fees	58.90		7,657.00				58.90		7,657.00
Total WIP			7,657.00			0.00			7,657.00

Billing Instructions

Bill Fees: _____ Write off Fees: _____ Bill Costs: _____ Write off Costs: _____
 Do Not Bill: _____ Apply Advance Deposit: All _____ or Other Amount _____ Send Statement Only: _____

Fee Detail

Fee ID	Date	Atty	Description	Task:Act	Hold	Hours	Rate	Amount
736498	05/11/20	HDN	Review K. Phelps' request to upload 2 documents to the SRA filings page and 1			0.30	130.00	39.00

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			recent update; download and save documents to database; upload documents to webpage and create links; check live site for functionality and errors; correspondence to K. Phelps regarding updates.			
736499	05/14/20	HDN	Review K. Phelps' request to upload 1 document to the SRA filings page and 1 recent update; download and save documents to database; upload documents to webpage and create links; check live site for functionality and errors; correspondence to K. Phelps regarding updates.	0.30	130.00	39.00
736500	05/23/20	HDN	Review K. Phelps' request to upload 2 documents to the SRA filings page; upload filings to webpage and check attached links; check live site for functionality and errors; correspondence to K. Phelps regarding updates.	0.30	130.00	39.00
736501	05/25/20	HDN	Review K. Phelps' request to upload 1 document to the SRA filings page; download and save filing to database; upload filing to webpage and create link; check live site for functionality and errors; correspondence to K. Phelps regarding updates.	0.20	130.00	26.00
736502	06/17/20	HDN	Review K. Phelps' request to upload 5 documents to the SRA filings page; download and save filings to database; upload filings to webpage and create links; check live site for functionality and errors; correspondence to K. Phelps regarding updates.	0.60	130.00	78.00
736503	06/23/20	HDN	Review K. Phelps' request to upload 1 fee order to the SRA filings page; download and save document to database; upload document to webpage and create link; check live site for functionality and errors; correspondence to K. Phelps regarding updates.	0.20	130.00	26.00
732718	07/02/20	KBP	Download and review bank statements.	0.50	130.00	65.00
736504	07/07/20	HDN	Review K. Phelps' request to upload 2 documents to the SRA filings page; download and save filings to database; upload filings to webpage and create links; check live site for functionality and errors; correspondence to K. Phelps regarding updates.	0.30	130.00	39.00
744841	07/08/20	HMC	Update spreadsheet for new account information from investors for publicly	3.00	130.00	390.00

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Fee ID	Date	Atty	Description	Task:Act	Hold Hours	Rate	Amount
			traded securities.				
732782	07/09/20	KBP	Monitor account information, spreadsheet for investors.		0.40	130.00	52.00
744842	07/09/20	HMC	Update spreadsheet for new account information from investors for publicly traded securities.		1.30	130.00	169.00
732786	07/10/20	KBP	Update service list with new email information for investors.		0.20	130.00	26.00
744843	07/10/20	HMC	Update spreadsheet for new account information from investors for publicly traded securities.		1.00	130.00	130.00
732808	07/13/20	KBP	Communications with investors regarding account information.		0.30	130.00	39.00
732811	07/13/20	KBP	Management of investor service issues.		0.50	130.00	65.00
732813	07/13/20	KBP	Communication with bank and investors regarding transfer of securities.		0.30	130.00	39.00
732820	07/13/20	KBP	Website management regarding new postings.		0.20	130.00	26.00
736505	07/13/20	HDN	Review K. Phelps' request to upload 4 documents to the SRA filings page; download and save documents to database; upload documents to webpage and create links; check live site for functionality and errors; correspondence to K. Phelps regarding updates.		0.50	130.00	65.00
744844	07/13/20	HMC	Update spreadsheet for new account information from investors for publicly traded securities.		3.00	130.00	390.00
736506	07/14/20	HDN	Review K. Phelps' request to upload 1 document to the SRA filings page and 1 status report; download and save documents to database; upload documents to webpage and create links; check live site for functionality and errors; correspondence to K. Phelps regarding updates.		0.30	130.00	39.00
744845	07/14/20	HMC	Update spreadsheet for new account information from investors for publicly traded securities.		1.30	130.00	169.00
744846	07/15/20	HMC	Update spreadsheet for new account information from investors for publicly traded securities.		0.10	130.00	13.00
744847	07/16/20	HMC	Update spreadsheet for new account information from investors for publicly traded securities.		1.00	130.00	130.00
744848	07/17/20	HMC	Update spreadsheet for new account information from investors for publicly traded securities.		0.20	130.00	26.00

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Fee ID	Date	Atty	Description	Task:Act	Hold	Hours	Rate	Amount
732871	07/19/20	KBP	Communications with investors regarding account information.			0.50	130.00	65.00
732876	07/20/20	KBP	Manage content for website, post new order.			0.20	130.00	26.00
732887	07/20/20	KBP	Communications with financial institution regarding cash proceeds, FDIC insurance.			0.40	130.00	52.00
736507	07/20/20	HDN	Review K. Phelps' request to upload 1 documents to the SRA filings page; upload filing to webpage and check attached link; check live site for functionality and errors; correspondence to K. Phelps regarding updates.			0.20	130.00	26.00
744849	07/20/20	HMC	Update spreadsheet for new account information from investors for publicly traded securities.			1.30	130.00	169.00
744850	07/21/20	HMC	Update spreadsheet for new account information from investors for publicly traded securities.			0.40	130.00	52.00
744851	07/21/20	HMC	Prepare DTC transfer forms for publicly traded securities.			2.30	130.00	299.00
732909	07/22/20	KBP	Communications regarding East West Bank account, brokerage account.			0.40	130.00	52.00
744852	07/22/20	HMC	Update spreadsheet for new account information from investors for publicly traded securities.			0.10	130.00	13.00
744853	07/22/20	HMC	Prepare DTC transfer forms for publicly traded securities.			5.00	130.00	650.00
744854	07/23/20	HMC	Update spreadsheet for new account information from investors for publicly traded securities.			1.30	130.00	169.00
732919	07/24/20	KBP	Prepare forms for East West accounts.			0.50	130.00	65.00
744855	07/24/20	HMC	Update spreadsheet for new account information from investors for publicly traded securities.			1.30	130.00	169.00
744856	07/24/20	HMC	Prepare DTC transfer forms for publicly traded securities.			1.00	130.00	130.00
732936	07/27/20	KBP	Draft updates for receiver website.			0.30	130.00	39.00
736508	07/27/20	HDN	Review K. Phelps' request to upload 1 update to the SRA general information page; download and save update to database; upload document to webpage and create link; check live site for functionality and errors; correspondence to K. Phelps regarding updates.			0.20	130.00	26.00
732957	07/29/20	KBP	Review service information, contact with claimants regarding account information.			0.40	130.00	52.00
744857	07/29/20	HMC	Update spreadsheet for new account information from investors for publicly			0.30	130.00	39.00

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1

Fee ID	Date	Atty	Description	Task:Act	Hold	Hours	Rate	Amount
			traded securities.					
744858	07/30/20	HMC	Prepare DTC transfer forms for publicly traded securities.			1.30	130.00	169.00
744859	07/31/20	HMC	Update spreadsheet for new account information from investors for publicly traded securities.			0.40	130.00	52.00
736509	08/03/20	HDN	Review K. Phelps' request to upload 3 documents to the SRA filings page; download and save filings to database; upload filings to webpage and create links; check live site for functionality and errors; correspondence to K. Phelps regarding updates.	B110:		0.40	130.00	52.00
738219	08/07/20	KBP	Review and respond to emails with IAC regarding meeting.			0.20	130.00	26.00
744860	08/10/20	HMC	Update spreadsheet for new account information from investors for publicly traded securities.			1.00	130.00	130.00
736510	08/13/20	HDN	Review K. Phelps' request to upload 1 fee order to the SRA filings page; download and save document to database; upload document to webpage and create link; check live site for functionality and errors; correspondence to K. Phelps regarding updates.	B110:		0.20	130.00	26.00
736511	08/18/20	HDN	Review K. Phelps' request to upload 1 update to the SRA general information page; download and save document to database; upload document to webpage; check live site for functionality and errors; correspondence to K. Phelps regarding updates.			0.20	130.00	26.00
738426	08/31/20	KBP	Communications regarding scheduling meetings regarding Palantir.			0.20	130.00	26.00
740887	09/01/20	HDN	Review K. Phelps' request to upload 1 filing to the SRA filings page; download and save filing to database; upload filing to webpage and create link; check live site for functionality and errors; correspondence to K. Phelps regarding updates.			0.20	130.00	26.00
740888	09/04/20	HDN	Review K. Phelps' request to upload 4 documents to the SRA filings page; download and save documents to database; upload documents to webpage and create links; check live site for functionality and errors; correspondence to K. Phelps regarding updates.			0.50	130.00	65.00
742013	09/04/20	KBP	Update service list for investors to notify of Palantir transactions.			1.00	130.00	130.00

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Matter ID: 2598-00012

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Fee ID	Date	Atty	Description	Task:Act	Hold	Hours	Rate	Amount
742031	09/04/20	KBP	Manage content for website.			0.20	130.00	26.00
744821	09/04/20	HMC	Update spreadsheet for new account information from investors for publicly traded securities.			0.20	130.00	26.00
744822	09/04/20	HMC	Update spreadsheet for new account information from investors for Palantir shares.			1.40	130.00	182.00
744823	09/05/20	HMC	Update spreadsheet for new account information from investors for Palantir shares.			1.00	130.00	130.00
744824	09/06/20	HMC	Update spreadsheet for new account information from investors for Palantir shares.			1.20	130.00	156.00
744825	09/07/20	HMC	Update spreadsheet for new account information from investors for Palantir shares.			1.20	130.00	156.00
742058	09/08/20	KBP	Review investor communications regarding accounts, update chart of accounts for distribution.			0.50	130.00	65.00
744826	09/08/20	HMC	Update spreadsheet for new account information from investors for Palantir shares.			3.00	130.00	390.00
740889	09/09/20	HDN	Review K. Phelps' request to upload 5 filings to the SRA filings page; download and save documents to database; upload documents to webpage and create links; check live site for functionality and errors; correspondence to K. Phelps regarding updates.			0.60	130.00	78.00
742070	09/09/20	KBP	Review and respond to emails regarding service of Palantir information.			0.20	130.00	26.00
742072	09/09/20	KBP	Manage posting of new pleadings on website.			0.20	130.00	26.00
744827	09/09/20	HMC	Update spreadsheet for new account information from investors for Palantir shares.			1.20	130.00	156.00
740890	09/10/20	HDN	Review K. Phelps' request to upload 1 filing to the SRA filing page; download and save document to database; upload document to webpage and create link; check live site for functionality and errors; correspondence to K. Phelps regarding updates.			0.20	130.00	26.00
742082	09/10/20	KBP	Manage content for website.			0.20	130.00	26.00
744828	09/10/20	HMC	Update spreadsheet for new account information from investors for Palantir shares.			0.40	130.00	52.00
740891	09/12/20	HDN	Review K. Phelps' request to upload 1 filing to the SRA filing page; download and			0.20	130.00	26.00

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Matter ID: 2598-00012

Draft Seq #

1

Fee ID	Date	Atty	Description	Task:Act	Hold	Hours	Rate	Amount
			save document to database; upload document to webpage and create link; check live site for functionality and errors; correspondence to K. Phelps regarding updates.					
742089	09/12/20	KBP	Communications regarding website content.			0.20	130.00	26.00
744829	09/14/20	HMC	Update spreadsheet for new account information from investors for Palantir shares.			1.40	130.00	182.00
744830	09/15/20	HMC	Update spreadsheet for new account information from investors for Palantir shares.			0.20	130.00	26.00
744831	09/16/20	HMC	Update spreadsheet for new account information from investors for Palantir shares.			0.20	130.00	26.00
744832	09/17/20	HMC	Update spreadsheet for new account information from investors for Palantir shares.			0.20	130.00	26.00
744833	09/18/20	HMC	Update spreadsheet for new account information from investors for Palantir shares.			0.20	130.00	26.00
744834	09/20/20	HMC	Update spreadsheet for new account information from investors for Palantir shares.			0.20	130.00	26.00
744835	09/21/20	HMC	Prepare DTC forms for Palantir shares.			3.00	130.00	390.00
744836	09/22/20	HMC	Update spreadsheet for new account information from investors for Palantir shares.			0.10	130.00	13.00
744837	09/24/20	HMC	Update spreadsheet for new account information from investors for Palantir shares.			0.10	130.00	13.00
744838	09/24/20	HMC	Prepare DTC forms for Palantir shares.			1.50	130.00	195.00
744839	09/29/20	HMC	Prepare DTC forms for Palantir shares.			1.50	130.00	195.00
744840	09/30/20	HMC	Update spreadsheet for new account information from investors for Palantir shares.			0.20	130.00	26.00
				Total Fees		58.90		7,657.00

EXHIBIT 3-3

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Draft for Work-In-Process Through 9/30/2020

Matter ID: 2598-00014

Draft Seq #

1

Billing Attorney: 48 - Phelps, Kathy B.

Bill Format: 9010

Kathy Bazoian Phelps, Receiver

Billing Cycle: M

Diamond McCarthy LLP

1999 Avenue of the Stars, 11th Floor

Los Angeles, CA 90067

Re: Asset Analysis and Recovery**Alternate Billing Rules**

Imaging: Print images based on rules

Billing & Payment Recap

Total Billed Fees:	62,772.50	Combined Adv. Deposit Bal.:	0.00
Total Billed Costs:	0.00	Fee Adv. Deposit Bal.:	0.00
Total Billed Interest:	0.00	Cost Adv. Deposit Bal.:	0.00
Total Billed Retainer:	0.00	Trust Funds 1:	0.00
Total Collected:	48,879.25	Trust Funds 2:	0.00
Last Bill:	08/05/20 8,117.50	Trust Funds 3:	0.00
Last Payment:	09/02/20 6,494.00	Trust Funds 4:	0.00
Last Write-Off:			

WIP & A/R Aging

As of 9/30/2020	Total	Fees	Cost	Interest	Retainer	Aging			
						0-30	31-60	61-90	91+
WIP	9,647.50	9,647.50	0.00	0.00	0.00	5,652.50	2,677.50	1,317.50	0.00
A/R	13,893.25	13,893.25	0.00	0.00	0.00	0.00	0.00	1,623.50	12,269.75
Totals	23,540.75	23,540.75	0.00	0.00	0.00	5,652.50	2,677.50	2,941.00	12,269.75

Fee Recap - Actual Hourly Rate

Timekeeper	Hours	Rate	Amount	On Hold			To Bill		
				Hours	Rate	Amount	Hours	Rate	Amount
KBP	22.70	425.00	9,647.50				22.70	425.00	9,647.50
Total WIP Fees	22.70		9,647.50				22.70		9,647.50
Total WIP			9,647.50			0.00			9,647.50

Billing Instructions

Bill Fees: _____ Write off Fees: _____ Bill Costs: _____ Write off Costs: _____
 Do Not Bill: _____ Apply Advance Deposit: All _____ or Other Amount _____ Send Statement Only: _____

Fee Detail

Fee ID	Date	Atty	Description	Task:Act	Hold	Hours	Rate	Amount
732704	07/01/20	KBP	Communications with N. Brown at AST regarding transfer of Solis shares.			0.20	425.00	85.00
732724	07/03/20	KBP	Draft email to counsel regarding Sabrin discovery, financial statement.			0.10	425.00	42.50
732769	07/08/20	KBP	Review and comment on Initial Disclosures in Sabrin litigation.			0.30	425.00	127.50
732784	07/10/20	KBP	Follow up with AST regarding Solis Fund			0.10	425.00	42.50

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Matter ID: 2598-00014

Draft Seq #

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Fee ID	Date	Atty	Description	Task:Act	Hold	Hours	Rate	Amount
			Bloom shares.					
732797	07/12/20	KBP	Review Sabrin Initial Disclosures, memo to counsel regarding discovery.			0.20	425.00	85.00
732810	07/13/20	KBP	Review and execute Sabrin ADR form.			0.10	425.00	42.50
732816	07/13/20	KBP	Review email regarding discovery regarding Sabrin.			0.10	425.00	42.50
732835	07/15/20	KBP	Telephone conference with Sandra at WFB regarding medallion signature for Bloom shares.			0.50	425.00	212.50
732854	07/16/20	KBP	Review request for documents to Sabrin.			0.10	425.00	42.50
732904	07/22/20	KBP	Review and respond to email from AST regarding transfer of Bloom shares.			0.20	425.00	85.00
732920	07/24/20	KBP	Review AST account information regarding Bloom Solis funds, instructions to Wells Fargo to transfer securities.			0.30	425.00	127.50
732963	07/29/20	KBP	Review stock transfer documentation from counsel for Palantir.			0.40	425.00	170.00
732976	07/31/20	KBP	Review comments to Palantir transfer documents, communication with securities and Palantir counsel regarding completing transaction.			0.50	425.00	212.50
738172	08/03/20	KBP	Communications regarding transfer of Palantir shares.			0.20	425.00	85.00
738180	08/04/20	KBP	Review communication from securities counsel regarding revisions regarding Palantir share transfer documentation in light of receivership.			0.30	425.00	127.50
738195	08/05/20	KBP	Review and analyze communications regarding transfer of Palantir shares from EAC, email to SEC.			0.30	425.00	127.50
738214	08/07/20	KBP	Review and respond to email regarding offer from Sabrin.			0.20	425.00	85.00
738216	08/07/20	KBP	Communication with counsel regarding Sabrin settlement offer.			0.20	425.00	85.00
738227	08/10/20	KBP	Review and respond to emails from SEC and securities counsel regarding Palantir transfer documentation.			0.20	425.00	85.00
738232	08/10/20	KBP	Review and analyze memo from counsel regarding Sabrin's defense.			0.20	425.00	85.00
738244	08/11/20	KBP	Review communications regarding transfer of Palantir shares, form of agreement.			0.20	425.00	85.00
738251	08/11/20	KBP	Review communications regarding transfer of Palantir shares.			0.10	425.00	42.50
738256	08/12/20	KBP	Review and comment on Palantir transfer documents regarding EAC transaction.			0.50	425.00	212.50
738261	08/12/20	KBP	Draft email to F. Koenen regarding direct listing of Palantir, timing of sale.			0.10	425.00	42.50

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Draft for Work-In-Process Through 9/30/2020

Matter ID: 2598-00014

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Fee ID	Date	Atty	Description	Task:Act Hold Hours	Rate	Amount
738264	08/13/20	KBP	Draft email to M. Winthrop regarding valuation of Palantir.	0.10	425.00	42.50
738266	08/13/20	KBP	Review and respond to email from F. Koenen regarding Palantir, possible direct listing, provide copies of documentation.	0.50	425.00	212.50
738269	08/13/20	KBP	Additional communications with F. Koenen regarding information on Palantir.	0.30	425.00	127.50
738279	08/14/20	KBP	Review email from F. Koenen regarding restrictions on Palantir securities.	0.20	425.00	85.00
738280	08/14/20	KBP	Review and execute tax documentation for Palantir regarding transfer of shares.	0.40	425.00	170.00
738285	08/17/20	KBP	Review and respond to email from counsel regarding Sabrin discovery.	0.10	425.00	42.50
738308	08/18/20	KBP	Review memos from securities counsel regarding transfer of Palantir shares from EAC, document, and draft memo regarding possible direct listing.	0.70	425.00	297.50
738310	08/18/20	KBP	Communications with Palantir's counsel and securities counsel regarding EAC transfer documents.	0.30	425.00	127.50
738312	08/18/20	KBP	Review correspondence from M. Winthrop regarding Palantir valuation.	0.10	425.00	42.50
738313	08/19/20	KBP	Review and execute Palantir transfer documents.	0.50	425.00	212.50
738322	08/19/20	KBP	Prepare paperwork for wire transaction for Palantir transfer.	0.20	425.00	85.00
738352	08/24/20	KBP	Communications with securities counsel and Palantir counsel regarding securities, documentation to prepare for public offering.	0.40	425.00	170.00
741966	09/01/20	KBP	Review account statement and documents from Palantir, draft memo to Palantir regarding EAC shares of Palantir.	0.50	425.00	212.50
741972	09/02/20	KBP	Review new information from Palantir, set up Computershare account, communicate with Palantir support regarding EAC shares.	0.80	425.00	340.00
741976	09/02/20	KBP	Communications with Palantir regarding EAC shares, new account.	0.20	425.00	85.00
742049	09/08/20	KBP	Attend pre-mediation call with mediator.	0.50	425.00	212.50
742053	09/08/20	KBP	Review and send emails to Computershare counsel regarding transfer of Palantir shares.	0.60	425.00	255.00
742055	09/08/20	KBP	Communications with banks, Computershare, Mike Whalen regarding transfer of Palantir shares.	1.00	425.00	425.00
742059	09/08/20	KBP	Complete Computershare transfer form, email to S. Simonian regarding medallion	0.40	425.00	170.00

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Fee ID	Date	Atty	Description	Task:Act	Hold	Hours	Rate	Amount
			signature.					
742064	09/09/20	KBP	Review email from J. Yun regarding approval of Eliv motion.			0.10	425.00	42.50
742067	09/09/20	KBP	Communications with Wells Fargo and Computershare by phone and email, obtain medallion signature for transfer of Palantir shares.			1.60	425.00	680.00
742073	09/09/20	KBP	Review Sabrin brokerage documents.			0.20	425.00	85.00
742074	09/09/20	KBP	Review order, communicate with securities counsel and execute Palantir LockUp agreement.			0.30	425.00	127.50
742075	09/09/20	KBP	Review new account information regarding EAC shares of Palantir, draft email to Palantir counsel regarding Lockup Agreement.			0.20	425.00	85.00
742076	09/09/20	KBP	Communications with M. Moesel regarding two accounts at Computershare, documentation required for transfer.			0.30	425.00	127.50
742080	09/10/20	KBP	Review emails regarding Sabrin protective order.			0.20	425.00	85.00
742111	09/14/20	KBP	Review email from Computershare regarding confirmation of tax i.d., preparing for transfer.			0.10	425.00	42.50
742137	09/16/20	KBP	Communication with WFB regarding transfer of Palantir shares.			0.10	425.00	42.50
742206	09/21/20	KBP	Review Sabrin protective order.			0.10	425.00	42.50
742226	09/23/20	KBP	Communications regarding lifting restrictions and delivery of Palantir shares.			0.20	425.00	85.00
742241	09/24/20	KBP	Review email regarding Sabrin production of documents, explanation of defense.			0.10	425.00	42.50
742246	09/25/20	KBP	Review and respond to email regarding discovery from Sabrin.			0.20	425.00	85.00
742247	09/25/20	KBP	Review communications from F. Koenen and Y. Li regarding restrictions on Palantir shares.			0.30	425.00	127.50
742248	09/25/20	KBP	Additional communications with WFB and securities counsel regarding restrictions on Palantir, set up second Palantir account at Computershare.			0.70	425.00	297.50
742252	09/25/20	KBP	Review letter from E. Zusman regarding Sabrin defenses, draft memo to counsel.			0.50	425.00	212.50
742253	09/25/20	KBP	Complete forms for WFB regarding Palantir sale.			0.50	425.00	212.50
742256	09/25/20	KBP	Review and forward Sabrin statements for analysis.			0.20	425.00	85.00
742268	09/27/20	KBP	Review and respond to memo from counsel regarding Sabrin defenses.			0.10	425.00	42.50
742270	09/27/20	KBP	Review and respond to email from J. Yun			0.10	425.00	42.50

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Matter ID: 2598-00014

Draft Seq #

1

Fee ID	Date	Atty	Description	Task:Act	Hold	Hours	Rate	Amount
			regarding Sabrin litigation.					
742276	09/27/20	KBP	Emails with transfer agent, law firms and bank regarding lifting of restrictions on Palantir shares.			1.00	425.00	425.00
742277	09/27/20	KBP	Review communications with E. Zusman regarding deficient Sabrin document production.			0.10	425.00	42.50
742289	09/28/20	KBP	Communications with counsel regarding Sabrin case, defenses.			0.20	425.00	85.00
742290	09/28/20	KBP	Communications with SEC and investors regarding Palantir shares.			0.20	425.00	85.00
742303	09/29/20	KBP	Review notice from mediator regarding Sabrin mediation.			0.10	425.00	42.50
742310	09/29/20	KBP	Review and respond to communications regarding Sabrin request for information.			0.20	425.00	85.00
742311	09/29/20	KBP	Review emails and records from SEC regarding Sabrin, communicate with counsel.			0.50	425.00	212.50
742318	09/30/20	KBP	Telephone conference with SEC regarding Sabrin litigation, Palantir.			0.50	425.00	212.50
742319	09/30/20	KBP	Communications with parties regarding delivery of Palantir shares, price, timing of sale.			0.40	425.00	170.00
				Total Fees		22.70		9,647.50

EXHIBIT 3-4

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Draft for Work-In-Process Through 9/30/2020

Matter ID: 2598-00016

Draft Seq #

1

Billing Attorney: 48 - Phelps, Kathy B.

Bill Format: 9010

Kathy Bazoian Phelps, Receiver

Billing Cycle: M

Diamond McCarthy LLP

1999 Avenue of the Stars, 11th Floor

Los Angeles, CA 90067

Re: Plan Implementation**Alternate Billing Rules**

Imaging: Print images based on rules

Billing & Payment Recap

Total Billed Fees:	135,248.40	Combined Adv. Deposit Bal.:	0.00
Total Billed Costs:	0.00	Fee Adv. Deposit Bal.:	0.00
Total Billed Interest:	0.00	Cost Adv. Deposit Bal.:	0.00
Total Billed Retainer:	0.00	Trust Funds 1:	0.00
Total Collected:	105,440.47	Trust Funds 2:	0.00
Last Bill:	08/05/20 27,370.00	Trust Funds 3:	0.00
Last Payment:	09/02/20 21,896.00	Trust Funds 4:	0.00
Last Write-Off:			

WIP & A/R Aging

As of 9/30/2020	Total	Fees	Cost	Interest	Retainer	Aging			
						0-30	31-60	61-90	91+
WIP	57,035.00	57,035.00	0.00	0.00	0.00	19,040.00	11,900.00	26,095.00	0.00
A/R	29,807.93	29,807.93	0.00	0.00	0.00	0.00	0.00	5,474.00	24,333.93
Totals	86,842.93	86,842.93	0.00	0.00	0.00	19,040.00	11,900.00	31,569.00	24,333.93

Fee Recap - Actual Hourly Rate

Timekeeper	Hours	Rate	Amount	On Hold			To Bill		
				Hours	Rate	Amount	Hours	Rate	Amount
KBP	134.20	425.00	57,035.00				134.20	425.00	57,035.00
Total WIP Fees	134.20		57,035.00				134.20		57,035.00
Total WIP			57,035.00			0.00			57,035.00

Billing Instructions

Bill Fees: _____ Write off Fees: _____ Bill Costs: _____ Write off Costs: _____
 Do Not Bill: _____ Apply Advance Deposit: All _____ or Other Amount _____ Send Statement Only: _____

Fee Detail

Fee ID	Date	Atty	Description	Task:Act	Hold	Hours	Rate	Amount
732703	07/01/20	KBP	Review and analyze distribution spreadsheets from Miller Kaplan, draft memos to accountant regarding revisions.			1.50	425.00	637.50
732707	07/02/20	KBP	Review finalized distribution schedules, update, update motion, draft email to SEC.			1.00	425.00	425.00
732725	07/03/20	KBP	Review and respond to investor inquiry regarding implementation of plan.			0.10	425.00	42.50

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Draft for Work-In-Process Through 9/30/2020

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Matter ID: 2598-00016

Draft Seq #

1

Fee ID	Date	Atty	Description	Task:Act	Hold	Hours	Rate	Amount
732731	07/05/20	KBP	Evaluate financial impact of plan on Palantir shares, explore options.			0.40	425.00	170.00
732736	07/06/20	KBP	Communications with SEC regarding tax implications of plan, administrative reserves.			0.20	425.00	85.00
732739	07/06/20	KBP	Telephone conference with V. Owens and J. Long regarding logistics of transferring securities.			0.50	425.00	212.50
732741	07/06/20	KBP	Review and respond to additional inquiries from SEC regarding spreadsheets.			0.20	425.00	85.00
732746	07/07/20	KBP	Review and respond to emails from SEC regarding distribution schedules.			0.20	425.00	85.00
732748	07/07/20	KBP	Telephone conference with investor regarding plan implementation, public and private securities.			0.20	425.00	85.00
732750	07/07/20	KBP	Telephone conference with SEC regarding distribution schedules regarding public securities.			0.50	425.00	212.50
732752	07/07/20	KBP	Revise schedules and motion regarding distribution of public securities, communication with accountant.			1.10	425.00	467.50
732754	07/07/20	KBP	Draft notices for investors regarding plan implementation.			0.40	425.00	170.00
732756	07/07/20	KBP	Review and respond to investor inquiries regarding plan, Palantir.			0.20	425.00	85.00
732761	07/08/20	KBP	Review and respond to multiple investor inquiries regarding Palantir.			0.20	425.00	85.00
732764	07/08/20	KBP	Review emails from investors regarding account information for publicly traded securities, communications regarding logging information.			0.50	425.00	212.50
732766	07/08/20	KBP	Additional communication with investors regarding Palantir, plan implementation.			0.20	425.00	85.00
732770	07/09/20	KBP	Review and respond to numerous emails from investors regarding plan implementation, transfer of securities.			0.40	425.00	170.00
732771	07/09/20	KBP	Communications with J. Damasco regarding tax consequences of share distributions.			0.20	425.00	85.00
732774	07/09/20	KBP	Telephone conference with D. Castleman regarding plan implementation.			0.30	425.00	127.50
732775	07/09/20	KBP	Additional calls with investors regarding plan implementation.			0.40	425.00	170.00
732776	07/09/20	KBP	Review and respond to inquiry fro IAC regarding distribution motion.			0.20	425.00	85.00
732781	07/09/20	KBP	Finalize motion, revise declaration and order regarding motion to distribute shares.			1.00	425.00	425.00
732785	07/10/20	KBP	Review and respond to email from J. Cilano regarding motion to sell and			0.10	425.00	42.50

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Matter ID: 2598-00016

Draft Seq #

1

Fee ID	Date	Atty	Description	Task:Act	Hold	Hours	Rate	Amount
			distribute publicly traded securities.					
732787	07/10/20	KBP	Email communications with J. Cilano, A. Israeli, counsel, regarding finalizing distribution motion, revise exhibits of schedules.			0.60	425.00	255.00
732788	07/10/20	KBP	Draft email to C. Klein in response to inquiry regarding cash payments to creditors.			0.10	425.00	42.50
732789	07/10/20	KBP	Review and respond to emails from interested parties regarding distribution schedules, communication with counsel regarding format of exhibits, communications with investors regarding plan.			0.70	425.00	297.50
732791	07/10/20	KBP	Review motion and schedules to prepare distribution motion for filing, confirm changes in stock prices.			0.70	425.00	297.50
732792	07/10/20	KBP	Communicate with accountant and counsel regarding finalizing Schedules for distribution.			0.80	425.00	340.00
732798	07/12/20	KBP	Review and respond to inquiry from Investor 235 regarding amount of claim, stock splits.			0.20	425.00	85.00
732800	07/12/20	KBP	Review and respond to email from Investor 189 regarding claim in Snap, sold shares and proceeds.			0.20	425.00	85.00
732801	07/12/20	KBP	Review finalized distribution schedule motion, communication with counsel regarding form of order.			0.60	425.00	255.00
732807	07/13/20	KBP	Revise notice and motion regarding notice, service.			0.50	425.00	212.50
732812	07/13/20	KBP	Further adjustments to distribution motion regarding stock prices, tax language.			0.80	425.00	340.00
732815	07/13/20	KBP	Draft email to investors regarding motion to approve distribution schedules.			0.20	425.00	85.00
732819	07/13/20	KBP	Communications with Investor 89 regarding plan, public and pre-IPO securities.			0.20	425.00	85.00
732821	07/13/20	KBP	Communications with investors regarding inquiries about plan implementation, shares to be distributed.			0.50	425.00	212.50
732823	07/14/20	KBP	Review and respond to numerous investor inquiries regarding plan, telephone calls, explanations regarding implementation of plan.			1.20	425.00	510.00
732829	07/15/20	KBP	Review and respond to SEC regarding inquiry regarding plan implementation, timing of sale and distribution.			0.10	425.00	42.50
732830	07/15/20	KBP	Communications with investors, tax issues,			0.50	425.00	212.50

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Matter ID: 2598-00016

Draft Seq # 1

Fee ID	Date	Atty	Description	Task:Act	Hold	Hours	Rate	Amount
			distributions.					
732832	07/15/20	KBP	Telephone conference with financial institutions regarding securing funds, transfers of securities.			0.20	425.00	85.00
732837	07/15/20	KBP	Review and respond to Investor inquiry regarding administrative reserve, tax liability.			0.20	425.00	85.00
732838	07/15/20	KBP	Review and respond to email from investor regarding instructions regarding IRA account, request for information.			0.20	425.00	85.00
732840	07/15/20	KBP	Review and respond to additional emails plan, taxes, distribution.			0.20	425.00	85.00
732846	07/16/20	KBP	Communications with investors regarding plan implementation.			0.70	425.00	297.50
732851	07/16/20	KBP	Respond to numerous additional emails regarding plan, distribution and tax questions.			0.30	425.00	127.50
732856	07/17/20	KBP	Communication with investor regarding change in management, proof of corporate management for disbursement purposes.			0.20	425.00	85.00
732865	07/17/20	KBP	Communications with investor regarding identity of recipient of disbursement.			0.20	425.00	85.00
732869	07/18/20	KBP	Review and respond to investor inquiry regarding plan, send email to investors for account information.			0.50	425.00	212.50
732878	07/20/20	KBP	Email communications with IAC regarding sales.			0.20	425.00	85.00
732879	07/20/20	KBP	Communications with investors regarding inquiries regarding distributions under plan.			0.40	425.00	170.00
732883	07/20/20	KBP	Communications with counsel and IAC regarding sale and distribution of securities.			0.50	425.00	212.50
732891	07/20/20	KBP	Review and respond to inquiry from investor regarding Fortuna Fund, Progresso and distribution.			0.20	425.00	85.00
732892	07/20/20	KBP	Review chart of securities to be sold.			0.60	425.00	255.00
732895	07/21/20	KBP	Telephone conference with IAC, communications with financial institutions and counsel regarding sales and distributions.			1.50	425.00	637.50
732898	07/21/20	KBP	Communications with investors, banks and counsel regarding sale and distribution of publicly traded securities.			2.00	425.00	850.00
732900	07/21/20	KBP	Prepare analysis of shares to be sold, limit orders.			1.20	425.00	510.00
732902	07/21/20	KBP	Review and analyze report of missing account information to formulate strategy for distribution of shares.			0.40	425.00	170.00
732907	07/22/20	KBP	Communications with investors regarding			0.20	425.00	85.00

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Draft for Work-In-Process Through 9/30/2020

Matter ID: 2598-00016

Draft Seq #

1

Fee ID	Date	Atty	Description	Task:Act	Hold	Hours	Rate	Amount
			questions regarding distribution.					
732908	07/22/20	KBP	Communications with counsel, review final accounting of sales and distributions, prepare to make distributions and sell securities.			3.30	425.00	1,402.50
732912	07/23/20	KBP	Communications before and after stock sales and distributions, log stock sales with counsel and investors.			2.20	425.00	935.00
732914	07/23/20	KBP	Review schedules of sales, distribution forms for plan implementation.			1.50	425.00	637.50
732916	07/24/20	KBP	Review and audit sale and distribution transactions, prepare schedule of tax liability, update schedule of distributions.			4.50	425.00	1,912.50
732917	07/24/20	KBP	Telephone conference with D. Castleman regarding sales and distributions.			0.60	425.00	255.00
732918	07/24/20	KBP	Prepare instructions for financial institutions.			0.40	425.00	170.00
732921	07/25/20	KBP	Prepare schedules reflecting actual distributions, value of distribution, deficiency claim.			3.30	425.00	1,402.50
732922	07/25/20	KBP	Review and comment on tax spreadsheet regarding stock sales from accountant.			0.20	425.00	85.00
732923	07/25/20	KBP	Review and respond to investor inquiries regarding distribution.			0.20	425.00	85.00
732924	07/25/20	KBP	Modify spreadsheets for tax liability on distributions, review WFB reporting, draft email to accountants.			1.00	425.00	425.00
732927	07/26/20	KBP	Review and comment on accountant revisions to distribution tax spreadsheet.			0.50	425.00	212.50
732931	07/27/20	KBP	Review and analyze spreadsheet regarding taxes and commissions, communications with counsel.			0.30	425.00	127.50
732932	07/27/20	KBP	Review communications with Wells Fargo regarding stock transfers.			0.10	425.00	42.50
732933	07/27/20	KBP	Review and respond to multiple investor inquiries regarding distributions.			0.40	425.00	170.00
732934	07/27/20	KBP	Review and approve revised spreadsheet regarding tax liability from distributions.			0.50	425.00	212.50
732935	07/27/20	KBP	Review and respond to investor inquiries regarding tax basis.			0.20	425.00	85.00
732941	07/27/20	KBP	Communications with Y. Li regarding distributions.			0.20	425.00	85.00
732944	07/28/20	KBP	Communications with investors and counsel regarding implementation of plan, distribution of securities.			0.30	425.00	127.50
732945	07/28/20	KBP	Telephone conference and emails with investors regarding distributions, plan.			0.40	425.00	170.00
732947	07/28/20	KBP	Review, confirm and sign LOIs for WFB			4.80	425.00	2,040.00

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Fee ID	Date	Atty	Description	Task:Act	Hold	Hours	Rate	Amount
			for stock transfers, communications with counsel.					
732948	07/28/20	KBP	Analyze distributions made, reconcile brokerage records, attention to rejected transfers, update spreadsheet.			1.40	425.00	595.00
732950	07/29/20	KBP	Telephone conference with D. Castleman regarding status of distributions.			0.50	425.00	212.50
732952	07/29/20	KBP	Review and execute additional letters of instruction regarding stock distribution, reconcile records.			0.80	425.00	340.00
732953	07/29/20	KBP	Communications with investors by phone and email regarding distributions, plan implementation.			0.60	425.00	255.00
732954	07/29/20	KBP	Review and approve form for letter instructions for foreign accounts.			0.20	425.00	85.00
732958	07/29/20	KBP	Communications with general and securities counsel regarding transfer of publicly traded securities.			0.50	425.00	212.50
732959	07/29/20	KBP	Review and execute letters regarding foreign accounts, reconcile master spreadsheet and update regarding transfers.			1.00	425.00	425.00
732960	07/29/20	KBP	Communications with additional investors regarding questions regarding tax consequences, distributions.			0.30	425.00	127.50
732961	07/29/20	KBP	Review and respond to email from J. Cilano regarding sales of securities.			0.10	425.00	42.50
732968	07/30/20	KBP	Review correspondence from F. Koenen regarding distribution of securities.			0.10	425.00	42.50
732970	07/30/20	KBP	Communication with investors, execute and reconcile distribution information for new accounts.			1.20	425.00	510.00
732973	07/31/20	KBP	Review and execute more distribution orders, review and analyze revised chart of distributions, review communications regarding distributions.			1.50	425.00	637.50
732974	07/31/20	KBP	Review communications from Wells Fargo Bank regarding ongoing account errors from investors causing delay in distribution.			0.30	425.00	127.50
732975	07/31/20	KBP	Communications with counsel and EFB regarding transfer to IRA account.			0.20	425.00	85.00
738171	08/03/20	KBP	Review and execute additional transfer instructions, update disbursement chart.			0.60	425.00	255.00
738174	08/03/20	KBP	Update chart and send to SEC regarding distributions.			0.20	425.00	85.00
738179	08/04/20	KBP	Review communications from investors regarding distributions, requests for additional information.			0.20	425.00	85.00
738186	08/04/20	KBP	Review emails from Yubi Li and D.			0.20	425.00	85.00

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Fee ID	Date	Atty	Description	Task:Act	Hold	Hours	Rate	Amount
			Castleman regarding failed distributions due to incorrect account information.					
738188	08/04/20	KBP	Review communications from WFB and counsel regarding distributions.			0.30	425.00	127.50
738190	08/04/20	KBP	Review memo from counsel, update on distributions, download trade confirmations and prepare spreadsheet.			0.90	425.00	382.50
738194	08/05/20	KBP	Review communications from counsel and WFB regarding finalizing distributions, execute additional forms.			0.50	425.00	212.50
738200	08/05/20	KBP	Numerous communications with counsel, investors and WFB regarding distributions, issues with IRA accounts, foreign accounts.			1.70	425.00	722.50
738203	08/06/20	KBP	Telephone conference with M. Bennett regarding distribution issues regarding Bloom.			0.20	425.00	85.00
738204	08/06/20	KBP	Review and respond to emails from investors regarding tax consequences of distribution.			0.40	425.00	170.00
738205	08/06/20	KBP	Review communications regarding problems with account information from investors.			0.40	425.00	170.00
738208	08/06/20	KBP	Telephone conference with D. Castleman regarding issues with distributions, communications with investors.			0.40	425.00	170.00
738209	08/06/20	KBP	Review email from E. Chen regarding analyzing claim of investor.			0.20	425.00	85.00
738215	08/07/20	KBP	Review and respond to emails regarding transfers to IRA accounts.			0.20	425.00	85.00
738217	08/07/20	KBP	Download asset distribution to track status.			0.20	425.00	85.00
738220	08/07/20	KBP	Review and respond to investors regarding Palantir, status.			0.40	425.00	170.00
738224	08/10/20	KBP	Telephone conference with IAC regarding their inquiries regarding Palantir.			0.40	425.00	170.00
738233	08/10/20	KBP	Communications with individual investors regarding distributions.			0.50	425.00	212.50
738234	08/10/20	KBP	Review and analyze spreadsheet from counsel regarding distributions.			0.30	425.00	127.50
738235	08/10/20	KBP	Review Bloom distribution, draft memo regarding accounting of distribution of investor.			0.60	425.00	255.00
738240	08/11/20	KBP	Analysis regarding Fortuna and related claims and distribution schedules.			0.70	425.00	297.50
738241	08/11/20	KBP	Telephone conference with investor regarding distribution, follow up regarding shares.			0.30	425.00	127.50
738243	08/11/20	KBP	In depth analysis of investor claim to respond to inquiries regarding allowed			0.30	425.00	127.50

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Fee ID	Date	Atty	Description	Task:Act	Hold	Hours	Rate	Amount
			amounts and distributed amounts.					
738247	08/11/20	KBP	Review and execute additional distribution form.			0.20	425.00	85.00
738253	08/12/20	KBP	Review communications regarding transfers to foreign bank accounts.			0.20	425.00	85.00
738255	08/12/20	KBP	Review and respond to emails regarding account information, Palantir, future distributions.			0.30	425.00	127.50
738268	08/13/20	KBP	Review communications regarding transfer of securities.			0.30	425.00	127.50
738270	08/13/20	KBP	Obtain updated information from Wells Fargo regarding distribution of shares.			0.30	425.00	127.50
738271	08/13/20	KBP	Review and respond to multiple emails from investors regarding Palantir shares.			0.60	425.00	255.00
738273	08/13/20	KBP	Communications with counsel regarding status of transfer of securities, international and IRA transfers.			0.20	425.00	85.00
738274	08/13/20	KBP	Review and respond to investor regarding account information needed.			0.10	425.00	42.50
738278	08/14/20	KBP	Review and respond to inquiries regarding Palantir, restrictions.			0.50	425.00	212.50
738288	08/17/20	KBP	Review and respond to emails from investors with inquiries regarding Palantir.			0.30	425.00	127.50
738291	08/17/20	KBP	Review analysis of counsel regarding Palantir restrictions, draft memo.			0.80	425.00	340.00
738292	08/17/20	KBP	Additional communications with investors regarding Palantir distribution.			0.20	425.00	85.00
738295	08/18/20	KBP	Review and respond to emails regarding account information, distributions to remaining investors of publicly traded securities.			0.40	425.00	170.00
738296	08/18/20	KBP	Review and respond to investor N.T. regarding inquiries regarding tax consequences of plan.			0.30	425.00	127.50
738304	08/18/20	KBP	Communications with investors and counsel regarding tax questions.			0.20	425.00	85.00
738305	08/18/20	KBP	Review and respond to memo from counsel regarding inquiries from N. T. regarding tax issues.			0.10	425.00	42.50
738307	08/18/20	KBP	Review and analyze status of distributions, pending transactions.			0.40	425.00	170.00
738309	08/18/20	KBP	Draft language for investors on Palantir status, distribution.			0.50	425.00	212.50
738314	08/19/20	KBP	Review email from M. Langan regarding distribution on Eliv shares.			0.10	425.00	42.50
738316	08/19/20	KBP	Review and execute additional LOIs regarding stock distribution.			0.20	425.00	85.00

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Fee ID	Date	Atty	Description	Task:Act	Hold	Hours	Rate	Amount
738317	08/19/20	KBP	Review and respond to investor inquiry regarding distribution, tax loss, terms of plan.			0.20	425.00	85.00
738323	08/20/20	KBP	Communications regarding fee for Palantir transfer.			0.20	425.00	85.00
738330	08/20/20	KBP	Download, review and process trade confirmations on additional distributions.			0.30	425.00	127.50
738340	08/21/20	KBP	Download information from Wells Fargo regarding transfers of stock.			0.10	425.00	42.50
738342	08/21/20	KBP	Respond to additional emails from N.T. and T.I regarding inquiries regarding plan, taxes and Palantir.			1.00	425.00	425.00
738343	08/22/20	KBP	Review and respond to another email from N.T. regarding plan implementation, Palantir.			0.50	425.00	212.50
738345	08/23/20	KBP	Review and respond to another email from T.I. regarding questions regarding plan and motion.			0.10	425.00	42.50
738355	08/24/20	KBP	Communications with WFB regarding distributions, IRA accounts.			0.20	425.00	85.00
738357	08/24/20	KBP	Review email from Y. Li regarding transfers to IRA accounts.			0.10	425.00	42.50
738363	08/25/20	KBP	Telephone conference with F. Koenen and D. Castleman regarding Palantir direct listing, documentation and strategy.			1.00	425.00	425.00
738364	08/25/20	KBP	Review documents from Palantir regarding direct listing.			1.50	425.00	637.50
738366	08/25/20	KBP	Communication with counsel regarding motion regarding Palantir shares, timing.			0.20	425.00	85.00
738367	08/25/20	KBP	Review emails regarding transfers to IRAs and international accounts.			0.20	425.00	85.00
738369	08/25/20	KBP	Review and respond to email from M. Langan regarding Eliv Group claim, request for cash in lieu of shares.			0.20	425.00	85.00
738371	08/25/20	KBP	Review and respond to investor inquiry regarding Palantir distribution.			0.10	425.00	42.50
738372	08/25/20	KBP	Review and respond to investor inquiry status of case.			0.10	425.00	42.50
738374	08/26/20	KBP	Communications with counsel and Palantir regarding stock transfer agreement.			0.20	425.00	85.00
738376	08/26/20	KBP	Review and respond to email from J. Yun regarding Palantir direct listing.			0.10	425.00	42.50
738377	08/26/20	KBP	Prepare spreadsheets for Palantir.			0.40	425.00	170.00
738378	08/26/20	KBP	Draft email to Palantir support regarding set up of account.			0.20	425.00	85.00
738379	08/26/20	KBP	Review and respond to emails from multiple investors regarding Palantir, Plan.			0.50	425.00	212.50

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738381	08/26/20	KBP	Communications with counsel regarding Palantir distribution.	0.40	425.00	170.00
738382	08/26/20	KBP	Review and analyze spreadsheet for Palantir sales and distributions.	0.70	425.00	297.50
738385	08/26/20	KBP	Draft notice to Palantir investors regarding status.	0.50	425.00	212.50
738386	08/26/20	KBP	Download confirmations from WFB, communications with counsel.	0.30	425.00	127.50
738388	08/27/20	KBP	Telephone conferences with two different investors regarding plan, Palantir, Square distributions.	0.50	425.00	212.50
738389	08/27/20	KBP	Telephone conference with A. J. regarding status of plan, Palantir.	0.20	425.00	85.00
738391	08/27/20	KBP	Review and analyze interim distribution spreadsheets.	0.40	425.00	170.00
738398	08/28/20	KBP	Review and execute additional distribution form, review communications regarding transfers.	0.20	425.00	85.00
738408	08/28/20	KBP	Review and download transfer information from brokerage firm, communicate with counsel.	0.20	425.00	85.00
738409	08/29/20	KBP	Review and respond to inquiries from investors regarding plan, Palantir.	0.20	425.00	85.00
738412	08/30/20	KBP	Review and respond to investor inquiries regarding Palantir investors.	0.20	425.00	85.00
738413	08/30/20	KBP	Draft email to F. Koenen regarding Palantir shares, ability to sell, restrictions.	0.20	425.00	85.00
738419	08/31/20	KBP	Review and respond to email from F. Koenen regarding analysis of Palantir agreements to evaluate restrictions.	0.10	425.00	42.50
738425	08/31/20	KBP	Review and respond to inquiry regarding subsequent distributions.	0.10	425.00	42.50
738428	08/31/20	KBP	Review email from Palantir regarding lockup agreement.	0.10	425.00	42.50
741965	09/01/20	KBP	Communicate by phone and email with various investors regarding plan implementation.	0.40	425.00	170.00
741977	09/02/20	KBP	Review and respond to investor regarding Palantir, timing, Computershare.	0.10	425.00	42.50
741979	09/02/20	KBP	Review and respond to email from investor with inquiry regarding timing of distribution of Palantir.	0.10	425.00	42.50
741982	09/02/20	KBP	Review spreadsheet and open issues from counsel regarding distributions of publicly traded securities.	0.40	425.00	170.00
741984	09/02/20	KBP	Telephone conference with F. Koenen and D. Castleman regarding Palantir, restrictions, timing of sale.	0.60	425.00	255.00

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741985	09/02/20	KBP	Telephone conference with D. Castleman regarding distributions of Palantir, motion for instructions.			1.00	425.00	425.00
741986	09/02/20	KBP	Review analysis from Fred Koenen regarding restrictions on Palantir shares.			0.30	425.00	127.50
741987	09/02/20	KBP	Review and analyze spreadsheets of distribution reconciliation for publicly traded securities.			0.50	425.00	212.50
741988	09/03/20	KBP	Call with IAC regarding Palantir direct listing, strategy regarding sale.			0.70	425.00	297.50
741990	09/03/20	KBP	Communications with counsel regarding Palantir lock up agreement.			0.20	425.00	85.00
741992	09/03/20	KBP	Draft email to Palantir regarding W-9, account set up.			0.20	425.00	85.00
741993	09/03/20	KBP	Review and respond to communications from F. Koenen regarding Palantir direct listing, lock up.			0.20	425.00	85.00
741995	09/03/20	KBP	Telephone conference with J. Yun regarding Palantir direct listing, registration, sale and distribution under Plan.			0.60	425.00	255.00
741996	09/03/20	KBP	Call with D. Castleman and email to F. Koenen regarding Palantir, registration, lock up.			0.30	425.00	127.50
741999	09/03/20	KBP	Review email and draft declaration from M. Langan regarding Eliv Group distribution.			0.30	425.00	127.50
742004	09/03/20	KBP	Review correspondence from F. Koenen to Palantir counsel regarding lockup agreement.			0.10	425.00	42.50
742005	09/03/20	KBP	Review email and case from J. Yun regarding securities registration.			0.20	425.00	85.00
742006	09/03/20	KBP	Multiple emails with securities counsel, draft response to SEC regarding Palantir.			0.30	425.00	127.50
742007	09/03/20	KBP	Review and respond to email from counsel for Palantir regarding extension on Lockup agreement.			0.10	425.00	42.50
742008	09/03/20	KBP	Draft email to J. Yun regarding registration question regarding Palantir.			0.40	425.00	170.00
742009	09/03/20	KBP	Communications regarding Form 144, exemption from registration.			0.20	425.00	85.00
742010	09/03/20	KBP	Review and revise motion, declaration and order regarding Motion regarding Palantir authority.			1.80	425.00	765.00
742012	09/04/20	KBP	Review account information for Palantir investors, review prior communications.			0.70	425.00	297.50
742014	09/04/20	KBP	Review communications from J. Yun regarding motion regarding Palantir.			0.10	425.00	42.50
742016	09/04/20	KBP	Review and forward FAQs regarding			0.20	425.00	85.00

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			Palantir sale, email to securities counsel.					
742017	09/04/20	KBP	Review correspondence from M. Langan regarding sale of Eliv shares.			0.10	425.00	42.50
742018	09/04/20	KBP	Review comments from counsel, revise motion and notice regarding Palantir.			0.90	425.00	382.50
742020	09/04/20	KBP	Draft email to Wells Fargo regarding sale of Palantir shares.			0.20	425.00	85.00
742021	09/04/20	KBP	Draft email to M. Whalen regarding transfer of shares from Computershare.			0.20	425.00	85.00
742022	09/04/20	KBP	Finalize motion, draft email to investors regarding Palantir distribution.			0.80	425.00	340.00
742023	09/04/20	KBP	Telephone conference with investor R.L. regarding Palantir distribution, restrictions.			0.20	425.00	85.00
742024	09/04/20	KBP	Telephone conference with J. McChesney regarding Wells Fargo account, commissions.			0.50	425.00	212.50
742027	09/04/20	KBP	Review and respond to investor asserting rights to particular Palantir shares.			0.20	425.00	85.00
742028	09/04/20	KBP	Review and respond to multiple emails from investors in response to motion.			0.40	425.00	170.00
742029	09/04/20	KBP	Emails to and from counsel for Computershare regarding transfer of shares to Wells Fargo.			0.40	425.00	170.00
742030	09/04/20	KBP	Review and respond to numerous emails from investors with inquiries regarding Palantir distribution.			0.70	425.00	297.50
742032	09/05/20	KBP	Review and send emails to Computershare counsel and Palantir counsel regarding transfer from CDG to Receivership account.			0.50	425.00	212.50
742033	09/05/20	KBP	Review responses from investors, respond to those with inquiries.			0.50	425.00	212.50
742034	09/05/20	KBP	Review notice from Palantir regarding lockup agreement, restrictions.			0.10	425.00	42.50
742037	09/06/20	KBP	Review multiple emails from investors regarding Palantir, respond to inquiries regarding particular claims and timing.			0.60	425.00	255.00
742038	09/06/20	KBP	Review and respond to email from M. Whalen regarding Computershare, correcting account name.			0.20	425.00	85.00
742039	09/06/20	KBP	Review and respond to email from Fortuna investor regarding distribution.			0.10	425.00	42.50
742041	09/07/20	KBP	Review emails from Palantir investors regarding account information and questions.			0.30	425.00	127.50
742042	09/07/20	KBP	Communications with transfer agent and banks regarding transfer of shares from CSG IV to receivership.			0.30	425.00	127.50

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Fee ID	Date	Atty	Description	Task:Act	Hold	Hours	Rate	Amount
742043	09/07/20	KBP	Draft email to M. Whalen regarding issue with transferring Palantir shares.			0.20	425.00	85.00
742045	09/08/20	KBP	Review additional emails regarding Palantir accounts.			0.30	425.00	127.50
742054	09/08/20	KBP	Review communications regarding Eliv claim.			0.20	425.00	85.00
742060	09/08/20	KBP	Revise motion to make Eliv distribution, draft emails to M. Langan and J. Yun regarding approval of motion.			0.90	425.00	382.50
742062	09/09/20	KBP	Telephone conference with Computershare counsel, complete transfer forms and communications with Wells Fargo regarding transfer of Palantir shares into brokerage account.			1.20	425.00	510.00
742068	09/09/20	KBP	Review and respond to emails regarding tax basis information relating to transfer of securities.			0.20	425.00	85.00
742069	09/09/20	KBP	Review emails from SEC regarding investor with inquiries regarding other entities.			0.10	425.00	42.50
742077	09/09/20	KBP	Review and analyze offer from Morgan Stanley regarding Palantir stock sale and distribution.			0.20	425.00	85.00
742079	09/09/20	KBP	Review and respond to email from F. Koenen regarding lockup agreements.			0.20	425.00	85.00
742081	09/10/20	KBP	Review emails regarding account information.			0.20	425.00	85.00
742087	09/12/20	KBP	Review emails from investors, respond, and communicate with counsel regarding updates to account information.			0.40	425.00	170.00
742090	09/12/20	KBP	Download Wells Fargo transfer information, memo to counsel.			0.30	425.00	127.50
742091	09/12/20	KBP	Review schedules for multiple account holders, gather information for Palantir distribution, draft memo regarding multiple accounts.			0.80	425.00	340.00
742092	09/12/20	KBP	Review and respond to multiple investor inquiries regarding Palantir distribution.			0.20	425.00	85.00
742093	09/12/20	KBP	Review and respond to email regarding stock sale for Eliv.			0.20	425.00	85.00
742097	09/14/20	KBP	Draft instructions to Wells Fargo regarding pulling Palantir shares.			0.20	425.00	85.00
742098	09/14/20	KBP	Review and respond to multiple emails from investors regarding distribution of securities, status of plan.			0.40	425.00	170.00
742099	09/14/20	KBP	Draft instructions to Wells Fargo regarding sale of securities for Eliv, confirm amounts.			0.20	425.00	85.00
742100	09/14/20	KBP	Review email regarding revised instructions			0.10	425.00	42.50

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Fee ID	Date	Atty	Description	Task:Act	Hold	Hours	Rate	Amount
			for international accounts.					
742101	09/14/20	KBP	Phone and email communications with Wells Fargo regarding sale of securities for Eliv.			0.20	425.00	85.00
742103	09/14/20	KBP	Telephone conference with Ari Israeli regarding Palantir sale, status of case.			0.40	425.00	170.00
742106	09/14/20	KBP	Review and analyze information from SEC regarding claimant's allegations regarding Fortuna, Palantir shares.			0.80	425.00	340.00
742108	09/14/20	KBP	Review and execute DRS forms, emails with Wells Fargo and Computershare regarding transfer of Palantir shares.			0.40	425.00	170.00
742110	09/14/20	KBP	Review WFB confirmation of Eliv stock sales, memo to counsel.			0.20	425.00	85.00
742117	09/15/20	KBP	Review and respond to email from M. Bennett regarding distribution of Palantir shares.			0.10	425.00	42.50
742118	09/15/20	KBP	Analyze Eliv stock sales, directions to WFB regarding cash transfer, communication with counsel regarding net amount to pay Eliv.			0.30	425.00	127.50
742119	09/15/20	KBP	Prepare email with accounting to M. Langan regarding Eliv distribution.			0.20	425.00	85.00
742120	09/15/20	KBP	Telephone conference with Y. Li regarding transfer of Palantir shares, review and send FAQs regarding transfer.			0.20	425.00	85.00
742127	09/15/20	KBP	Review emails from investors regarding Palantir distribution.			0.30	425.00	127.50
742129	09/15/20	KBP	Review and analyze schedules from counsel regarding status of distribution to publicly traded securities.			0.30	425.00	127.50
742140	09/16/20	KBP	Telephone conference with D. Castleman regarding plan implementation, spreadsheets and distributions.			0.90	425.00	382.50
742141	09/16/20	KBP	Draft email to Fortuna regarding account information, possible abandonment of claim.			0.10	425.00	42.50
742142	09/16/20	KBP	Draft punch list of plan implementation activities.			0.20	425.00	85.00
742144	09/16/20	KBP	Telephone conference with J. Cilano regarding Fortuna distribution.			0.20	425.00	85.00
742145	09/16/20	KBP	Review correspondence from S. Diamond regarding Fortuna distribution.			0.10	425.00	42.50
742146	09/16/20	KBP	Telephone conference with counsel regarding Palantir sale and distribution.			0.20	425.00	85.00
742147	09/16/20	KBP	Review and respond to email from Y. Li regarding status of transfer of Palantir shares.			0.10	425.00	42.50
742151	09/16/20	KBP	Draft email to M. Bennett regarding timing			0.10	425.00	42.50

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Fee ID	Date	Atty	Description	Task:Act	Hold	Hours	Rate	Amount
			and amount of Palantir distribution.					
742154	09/16/20	KBP	Review and respond to inquiry from investor regarding tax question.			0.10	425.00	42.50
742155	09/16/20	KBP	Review information from SEC, respond to counsel for investor regarding Palantir shares, claim with Fortuna.			0.40	425.00	170.00
742156	09/16/20	KBP	Review and analyze spreadsheet regarding appropriate reserves regarding Palantir.			0.30	425.00	127.50
742159	09/16/20	KBP	Telephone conference with A. Cohen regarding plan, distribution for his client.			0.20	425.00	85.00
742169	09/17/20	KBP	Review and respond to email from counsel regarding Palantir administrative reserve, analysis.			0.20	425.00	85.00
742170	09/17/20	KBP	Review and respond to emails regarding distribution to Fortuna and directly to its investors.			0.20	425.00	85.00
742173	09/17/20	KBP	Prepare memo regarding altered distribution to Fortuna, claim amounts.			0.30	425.00	127.50
742179	09/17/20	KBP	Telephone conference with P. Hugo regarding investor account, distribution.			0.30	425.00	127.50
742182	09/17/20	KBP	Download and reconcile recent transfers of public securities.			0.40	425.00	170.00
742183	09/17/20	KBP	Review and respond to emails from Computer, Wells Fargo and counsel regarding delay in lifting restrictions on stock.			0.30	425.00	127.50
742186	09/18/20	KBP	Telephone conference with D. Cundey regarding Morgan Stanley, sale of Palantir shares.			0.30	425.00	127.50
742187	09/18/20	KBP	Review emails from investors regarding accounts for Palantir, tax basis.			0.30	425.00	127.50
742188	09/18/20	KBP	Review and respond to email from J. Yun regarding investor, claims against Fortuna.			0.20	425.00	85.00
742190	09/18/20	KBP	Communications with SEC regarding sale of Palantir shares.			0.20	425.00	85.00
742191	09/18/20	KBP	Handle banking for Eliv transaction, draft letter to Clerk of Court regarding Eliv distribution.			0.70	425.00	297.50
742192	09/18/20	KBP	Review information regarding Fortuna distribution, analyze spreadsheet of Fortuna investors.			0.20	425.00	85.00
742197	09/19/20	KBP	Review and analyze spreadsheet regarding Fortuna claimants.			0.20	425.00	85.00
742199	09/21/20	KBP	Review and respond to emails regarding Plan, accounts, Palantir, commissions.			0.20	425.00	85.00
742202	09/21/20	KBP	Review email regarding Palantir, research regarding direct listing.			0.20	425.00	85.00
742203	09/21/20	KBP	Telephone conference with D. Castleman			0.20	425.00	85.00

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Fee ID	Date	Atty	Description	Task:Act	Hold	Hours	Rate	Amount
			regarding Fortuna distribution, remaining Bloom claimants.					
742204	09/21/20	KBP	Review and respond to L. Kane regarding Fortuna distribution.			0.20	425.00	85.00
742211	09/22/20	KBP	Review and respond to email from C. Pope regarding Palantir, financial position.			0.10	425.00	42.50
742213	09/22/20	KBP	Telephone conference with investor regarding claim in Palantir.			0.20	425.00	85.00
742214	09/22/20	KBP	Research regarding possible new claim for Palantir, email to SEC.			0.20	425.00	85.00
742217	09/22/20	KBP	Review and process emails regarding accounts for Palantir, review email regarding tax basis in shares transferred.			0.40	425.00	170.00
742220	09/23/20	KBP	Telephone conference with S. Soler regarding distribution to Fortuna.			0.20	425.00	85.00
742222	09/23/20	KBP	Second call with S. Soler, memo to counsel regarding distribution to Fortuna.			0.10	425.00	42.50
742227	09/24/20	KBP	Review new information from Palantir, communicate with Wells Fargo regarding transfer of shares.			0.40	425.00	170.00
742229	09/24/20	KBP	Begin analysis of cash claimants, prepare for distribution.			0.30	425.00	127.50
742234	09/24/20	KBP	Instructions to Wells Fargo regarding transfer of Palantir, complete forms.			0.30	425.00	127.50
742235	09/24/20	KBP	Telephone conference with D. Cundey regarding strategy for sale of Palantir.			0.30	425.00	127.50
742238	09/24/20	KBP	Review and respond to inquiry from WFB regarding Palantir shares.			0.20	425.00	85.00
742239	09/24/20	KBP	Review and respond to investor inquiry regarding tax basis question.			0.20	425.00	85.00
742240	09/24/20	KBP	Review communications regarding transfers of publicly traded securities.			0.30	425.00	127.50
742242	09/24/20	KBP	Review and respond to additional investor inquiries regarding distribution of Palantir, claim amounts.			0.20	425.00	85.00
742260	09/26/20	KBP	Review updated chart of distribution of publicly traded securities.			0.30	425.00	127.50
742263	09/27/20	KBP	Analyze status of distributions, letter to one missing claimant.			0.30	425.00	127.50
742264	09/27/20	KBP	Communication with F. Koenen regarding opinion letter regarding Palantir.			0.10	425.00	42.50
742267	09/27/20	KBP	Communications with investors regarding Palantir account information.			0.40	425.00	170.00
742269	09/27/20	KBP	Review and respond to investor regarding Addepar shares.			0.10	425.00	42.50
742271	09/27/20	KBP	Communications with Computershare and Wells Fargo regarding transfer of shares.			0.30	425.00	127.50

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Fee ID	Date	Atty	Description	Task:Act	Hold	Hours	Rate	Amount
742273	09/27/20	KBP	Telephone conference with Rene from Computershare regarding restrictions on shares.			0.20	425.00	85.00
742274	09/27/20	KBP	Communications regarding Fortuna's brokerage account.			0.20	425.00	85.00
742275	09/27/20	KBP	Draft email to counsel regarding Palantir shares, opinion letters.			0.20	425.00	85.00
742279	09/27/20	KBP	Additional communications regarding lifting of restrictions on Palantir.			0.40	425.00	170.00
742280	09/27/20	KBP	Draft email to Wells Fargo regarding sale strategy for Palantir.			0.10	425.00	42.50
742282	09/27/20	KBP	Review numerous communications regarding Fortuna distribution, account information issues.			0.20	425.00	85.00
742283	09/27/20	KBP	Review communication from investor with no claim regarding no notice of bar date, claim for Palantir, forward to SEC.			0.10	425.00	42.50
742288	09/28/20	KBP	Review communications regarding Palantir, plan.			0.20	425.00	85.00
742291	09/28/20	KBP	Review multiple communications from investors and Wells Fargo regarding accounts.			0.40	425.00	170.00
742292	09/28/20	KBP	Review and respond to multiple communications regarding delivery of Palantir shares, communicate with counsel.			1.00	425.00	425.00
742295	09/29/20	KBP	Telephone conference with J. Huang at Wells Fargo regarding sale of Palantir shares.			0.20	425.00	85.00
742297	09/29/20	KBP	Review email from J. Yun regarding new Palantir investor, communicate with investor.			0.20	425.00	85.00
742298	09/29/20	KBP	Review and respond to email from Y. Li regarding transfer of Palantir shares.			0.10	425.00	42.50
742299	09/29/20	KBP	Review and respond to numerous communications from investors regarding Palantir.			0.50	425.00	212.50
742304	09/29/20	KBP	Calls with Computershare and WFB regarding Palantir share transfer.			0.20	425.00	85.00
742306	09/29/20	KBP	Review and respond to email from C. Pope regarding sale of Palantir.			0.10	425.00	42.50
742307	09/29/20	KBP	Review notice from Palantir.			0.10	425.00	42.50
742309	09/29/20	KBP	Multiple emails with investor regarding Bloom distribution, tax basis.			0.20	425.00	85.00
742313	09/29/20	KBP	Communications regarding sale strategy regarding Palantir.			0.20	425.00	85.00
742322	09/30/20	KBP	Review and respond to numerous email inquiries regarding Palantir sale and distribution.			0.50	425.00	212.50

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Fee ID	Date	Atty	Description	Task:Act	Hold Hours	Rate	Amount
				Total Fees	134.20		57,035.00

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Matter ID: 2598-00015

Draft Seq # 1

Billing Attorney: 48 - Phelps, Kathy B.

Bill Format: 9010

Kathy Bazoian Phelps, Receiver

Billing Cycle: M

Diamond McCarthy LLP

1999 Avenue of the Stars, 11th Floor

Los Angeles, CA 90067

Re: Expenses**Alternate Billing Rules**

Imaging: Print images based on rules

Billing & Payment Recap

Total Billed Fees:	0.00	Combined Adv. Deposit Bal.:	0.00
Total Billed Costs:	388.39	Fee Adv. Deposit Bal.:	0.00
Total Billed Interest:	0.00	Cost Adv. Deposit Bal.:	0.00
Total Billed Retainer:	0.00	Trust Funds 1:	0.00
Total Collected:	388.39	Trust Funds 2:	0.00
Last Bill: 08/05/20	388.39	Trust Funds 3:	0.00
Last Payment: 09/02/20	388.39	Trust Funds 4:	0.00
Last Write-Off:			

WIP & A/R Aging

As of 9/30/2020	Total	Fees	Cost	Interest	Retainer	Aging			
						0-30	31-60	61-90	91+
WIP	49.70	0.00	49.70	0.00	0.00	49.70	0.00	0.00	0.00
A/R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Totals	49.70	0.00	49.70	0.00	0.00	49.70	0.00	0.00	0.00

Disbursement Recap by Code

Code	Amount	On Hold	To Bill
RESE On-Line Research	49.70		49.70
Total WIP Costs	49.70		49.70
Total WIP	49.70	0.00	49.70

Billing Instructions

Bill Fees: _____ Write off Fees: _____ Bill Costs: _____ Write off Costs: _____
 Do Not Bill: _____ Apply Advance Deposit: All _____ or Other Amount _____ Send Statement Only: _____

Disbursement Detail

Cost ID	Date	Description/Payee	Task:Act	Hold	Amount
165809	09/30/20	On-Line Research; PACER 3rd Qtr 2020			4.60
165865	09/30/20	On-Line Research; PACER 3rd Qtr			45.10
Total Disbursements					49.70